



Canadian Journal of Fisheries and Aquatic Sciences

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Journal:	<i>Canadian Journal of Fisheries and Aquatic Sciences</i>
Manuscript ID	Draft
Manuscript Type:	Article
Date Submitted by the Author:	n/a
Complete List of Authors:	Pess, George; NOAA Fisheries Northwest Fisheries Science Center, Fish Ecology - Watershed program McHenry, Mike; Lower Elwha Klallam Tribe, Natural Resources Department Denton, Keith; K DENTON AND ASSOCIATES, LLC Anderson, Joseph; Washington Department of Fish and Wildlife, Fish Program, Science Division Liermann, Martin; NOAA Fisheries Northwest Fisheries Science Center, Fish Ecology - Watershed program Peters, Roger; US Fish and Wildlife Service, Washington Fish and Wildlife Office McMillan, John R.; Trout Unlimited, Wild Steelhead Initiative Brenkman, Samuel; Olympic National Park, Fisheries Department Bennett, Todd; NOAA Fisheries Northwest Fisheries Science Center, Fish Ecology - Watershed program Mahan, John; Lower Elwha Klallam Tribe, Natural Resources Department Duda, Jeffrey; US Geological Survey Northwest Region, Western Fisheries Research Center Hanson, Karrie; NOAA Fisheries Northwest Fisheries Science Center, Fish Ecology - Watershed program
Keyword:	Dam removal, RESTORATION < General, SALMON < Organisms, MONITORING < General, Fish Passage
Is the invited manuscript for consideration in a Special Issue? :	Not applicable (regular submission)

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1 Initial response of Chinook salmon (*Oncorhynchus tshawytscha*) and steelhead (*Oncorhynchus mykiss*) to
2 removal of two dams on the Elwha River, Washington State, U.S.A.

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21 **Abstract**

22 Large dam removal is being used to restore river systems but questions remain regarding their
23 outcomes. We examine how the removal of two large dams in the Elwha River, coupled with hatchery
24 production and harvest restrictions, affect the population attributes of Chinook salmon (*Oncorhynchus*
25 *tshawytscha*) and steelhead (*O. mykiss*) in the Elwha River. Initial response to dam removal by Chinook
26 salmon and steelhead was an increase in the number of returning adults and their watershed
27 distribution over the pre-removal run size and area. Hatchery production and harvest restrictions have
28 helped to increase Elwha Chinook salmon and winter steelhead abundance, particularly during dam
29 removal. Naturally produced juvenile Chinook salmon and steelhead outmigrant abundance increased
30 three years after adult passage was restored, suggesting that short-term impacts due to downstream
31 sedimentation during and immediately after dam removal were short-lived. We have also observed a
32 natural “reawakening” of the summer steelhead, particularly above the former dams. Our results
33 suggest an integrated set of habitat, hatchery, and harvest actions can result in positive responses for
34 salmonid populations.

35 **Keywords**

36 Dam removal, restoration, salmon, monitoring

37

38 Introduction

39 Dams are a major threat to the connectivity of river ecosystems across the world and have contributed to
40 extinctions and imperiled status of migratory fishes (Pringle et al. 2000). Over the last several decades,
41 there has been an increase in the number of dams that are deemed unsafe or are no longer meeting their
42 intended objectives, resulting in over 1200 dams being decommissioned and removed over the last two
43 decades (O'Connor et al. 2015; Bellmore et al. 2016). Dam removal can lead to a rapid ecosystem response
44 including river channel formation in former reservoirs, restored migration of fish, and downstream
45 changes in physical habitat (O'Conner et al. 2015; Tullos et al. 2016; Bellmore et al. 2019). Initial dam
46 removal efforts focused on small structures (< 8 m in height) (Bellmore et al. 2016), but removal of large
47 dams (> 10 m) has gained momentum, particularly in the Western United States (O'Conner et al. 2015).

48 Following dam removal fish can move upstream to recolonize former habitats and expand their
49 distribution across a watershed (Bellmore et al. 2019), and habitat loss is a major factor in the decline of
50 many fish species, which is one reason dam removal is increasingly being considered and implemented to
51 assist the recovery of depleted populations of Pacific Salmon (Hare et al. 2019). Salmon can quickly
52 recolonize new habitats and increase their population size exponentially, regardless of whether initial
53 abundance from donor populations is small (i.e., less than 100) or large (i.e., ~ 1 million) (Milner et al.
54 2007; Kiffney et al. 2009; Pess et al. 2012a; Anderson et al. 2015). The rate of recolonization depends on
55 several factors including the size and proximity to source populations in the same or nearby river systems,
56 the types and characteristics of the new habitats, and the life history diversity of each species (Pess et al.
57 2014). Further, homing and straying are important to successful salmon recolonization, as strays are
58 responsible for initial colonization while homing in future generations can maintain populations and
59 contribute to population growth. Lastly, dam removal can potentially improve resilience by increasing
60 diversity (e.g., Schindler et al. 2010) if unique life histories or habitats are above the dams (e.g., Beechie
61 et al. 2006; Waples et al. 2008) and the adaptive genetic diversity to express those life histories remains

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62 (Thompson et al. 2019). Hence, dam removal could benefit salmon by increasing spatial and temporal
63 distribution, population size, and diversity, all of which are fundamental to improving viability of depleted
64 populations of salmon.

65 In 1992, the Elwha River Ecosystem and Fisheries Restoration Act was signed into law, making it the largest
66 dam removal in the history of the United States at the time (US Public Law 102-495; Winter and Crain
67 2008). The Act authorized the Department of the Interior to acquire and remove two dams on the Elwha
68 River, Washington State - the Elwha and Glines Canyon dams. The goal of the Act was “full restoration of
69 the Elwha River ecosystem and native anadromous fisheries.” The intentional concurrent removal of the
70 two large dams started in 2011 and was finalized in October 2014. Approximately 30 million metric tonnes
71 (Mt) of impounded sediment were ultimately exposed to fluvial erosion, presenting a unique opportunity
72 to simultaneously examine the geomorphic evolution of a river system and the associated ecological
73 response, including the recolonization of upstream habitats by anadromous salmonids (Ritchie et al.
74 2018).

75 Approximately 65% of the stored sediment was eroded since dam removal, of which only ~10% was
76 deposited in the fluvial system (Ritchie et al. 2018). The remaining ~90% of the released sediment was
77 transported to the coast; expanding the delta by ~60 ha (Ritchie et al. 2018). This restored fluvial supply
78 of sediment and wood substantially altered the freshwater channel morphology, and habitats within the
79 estuarine and nearshore environment (Foley et al. 2017; Shaffer et al. 2017; Ritchie et al. 2018).

80 Removing the two dams on the Elwha River has also resulted in ecological responses by anadromous fish
81 species. For example, Liermann et al. (2017) found that hatchery adult Coho salmon (*Oncorhynchus*
82 *kisutch*) transplanted from the lower Elwha River into two tributaries of the Elwha above the former Elwha
83 dam led to immediate spawning, resulting in levels of smolt outmigrants per stream kilometer comparable
84 with other established coho salmon populations in the Pacific Northwest. Further, the timing and body

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85 size of juvenile outmigrants differed dramatically between two proximate tributaries based on the
86 physical and biological characteristics of the newly occupied habitat (Liermann et al. 2017). Elwha River
87 bull trout, almost entirely landlocked for a century, rapidly resumed anadromy and consumed marine
88 prey (Quinn et al. 2017; Brenkman et al. 2019). However, responses of Chinook salmon and steelhead
89 have not yet been documented.

90 Our study focuses on the short-term response of Chinook salmon (*O. tshawytscha*) and steelhead (*O.*
91 *mykiss*) to the reconnection of the Elwha River. In this paper, we compare the abundance, distribution,
92 and productivity of Chinook salmon and steelhead, before, during, and after dam removal. To accomplish
93 this, we first estimated and compared the abundance of adults, smolts, and the proportion of natural and
94 hatchery origin Chinook salmon and steelhead. Second, we estimated productivity of Chinook salmon as
95 juvenile outmigrants per spawner, and as adult-to-adult return rate. Third, to better understand potential
96 sediment impacts we examined whether smolt abundance and productivity differed in relation to
97 sediment transport and river discharge indices during and after dam removal. Fourth, to better
98 understand spatial expansion we estimated the spatial distribution of spawning adults. Fifth, we
99 documented the life history diversity of steelhead to determine if it changed after dam removal. We
100 examine and discuss this information in the context of historical and current studies of salmon
101 recolonization, including natural recolonization of newly created habitats and restoration efforts
102 associated with habitat reconnection through removal of anthropogenic barriers. Lastly, we discuss how
103 multiple management actions in the form of habitat restoration, current hatchery practices, and harvest
104 restrictions combined can positively impact Chinook salmon and steelhead in the Elwha River basin.

105 **Methods**

106 1.1 Study Area

107 The Elwha River is located on Washington State's Olympic Peninsula, originating in Olympic National Park
108 (Fig. 1). The Elwha drains 833 km² and flows 72 km from an elevation of 1,372 m at the headwaters to its
109 mouth on the Strait of Juan de Fuca in the Pacific Ocean. The physical geography of the Elwha River system
110 is characterized as a series of alternating canyons and floodplains, which occur throughout the watershed
111 (Pess et al. 2008). Two hydroelectric dams, which were built without fish passage facilities, eliminated
112 anadromous salmonids' access to 95% of the Elwha River watershed (Brenkman et al. 2019). Elwha Dam,
113 constructed at river kilometer (rkm) 7.9, was completed in 1913 and created Aldwell Reservoir (Fig. 1).
114 Glines Canyon Dam, constructed at rkm 21.4, was completed in 1927 and created Mills Reservoir. The
115 32-m-tall Elwha Dam was removed over an 8-month period from September 2011 to April 2012, while
116 Glines Canyon Dam (64 m in height) was removed over a 3-year period from 2011 to 2014 (Brenkman et
117 al. 2019). In October 2014, shortly after the Glines Canyon Dam removal was complete, a large rockfall
118 occurred in the canyon immediately downstream of the dam site near rkm 20.0 (Fig. 1). The accumulation
119 of rockfall debris and large boulders created a new barrier to upstream passage of adult salmonids. Rock
120 blasting occurred in October 2015 to improve fish passage, and additional blasting in September 2016 was
121 presumed to have eliminated the barrier (Brenkman et al. 2019).

122 The salmonids community in the Elwha River consists of wild, natural-origin, hatchery, and nonnative fish
123 (Brenkman et al. 2019). The salmonid species composition in the river includes Chinook, coho salmon,
124 chum salmon (*O. keta*), pink salmon (*O. gorbuscha*), sockeye salmon (*O. nerka*), rainbow trout (*O. mykiss*),
125 summer and winter steelhead (anadromous form of Rainbow Trout), coastal cutthroat trout (*O. clarkii*
126 *clarkii*), bull trout (*Salvelinus confluentus*), and nonnative brook trout (*S. fontinalis*). Nonsalmonid species
127 include the coastrange sculpin (*Cottus aleuticus*), prickly sculpin (*C. asper*), Pacific lamprey (*Entosphenus*
128 *tridentatus*), and the presumed non-native redbside shiner (*Richardsonius balteatus*).

129 Records indicate release of hatchery-origin Chinook salmon into the Elwha watershed as early as 1914
130 (Duda et al. 2018). A dedicated Elwha River origin Chinook salmon hatchery program was initiated in 1930

131 (Brannon and Hershberger 1984), and in recent years, Chinook releases have been large (annual average
132 number released, 1985 to 2014 = 2.5 M). The current Chinook salmon hatchery program was deemed
133 necessary during and post dam removal because the population has been dependent upon hatchery
134 production for multiple decades and the predicted and realized short-term lethal effects of dam removal.
135 Winter steelhead releases have occurred since 1965 and out-of-basin summer steelhead were released
136 from 1968 to 2008 (Duda et al. 2018). Native Elwha winter steelhead had persisted in low abundances
137 below the dams prior to dam removal, and dam removal disturbance was seen as a potential threat to
138 short-term viability, so a native broodstock winter steelhead hatchery program was developed. For
139 summer steelhead it was hypothesized that such a life history form could occupy and “recolonize”
140 historically re-available upstream habitats (Ward et al. 2008), but specific mechanisms as to how were not
141 identified (Brenkman et al. 2008). A moratorium on fishing for all species within the Elwha River watershed
142 and terminal nearshore area was implemented in 2011 and will continue through June 2021 (Peters et al.
143 2014). The only exception to the moratorium has been an ongoing fishery targeting kokanee in Lake
144 Sutherland, the headwaters of Indian Creek.

145 *Chinook salmon and steelhead adult relocation*

146 Adult Chinook salmon and steelhead were relocated during and immediately after dam removal (Tables
147 1 and 2). Adult Chinook salmon were relocated from hatchery facilities and a weir in the lower river to
148 five different locations in the Middle Elwha River, upstream of the Elwha Dam site (Table 1). Relocations
149 occurred in five of nine years during and after dam removal (Table 1). Relocated fish were considered
150 surplus to hatchery broodstock goals, and as a result, they were numerically dominated by males in all
151 years except 2019. The largest number of relocations occurred in 2018 and 2019, which were also the
152 only years in which a considerable number of females were transported (Table 1).

153 Adult steelhead relocation occurred during the same period as Chinook salmon relocation (2012–2016);
154 however, the number of sites was limited to two Middle Elwha tributaries – Indian Creek and Little River
155 (Table 2). We relocated adult steelhead because they volunteered into the Lower Elwha Klallam Tribe’s
156 (LEKT) hatchery trap, likely to avoid elevated sediment loads in the mainstem river during dam removal.
157 Adult steelhead were not captured and released into tributaries in 2015 because the hatchery adult trap
158 was not operational. In 2016 the adult trap was operational, and 32 hatchery origin and three natural
159 origin steelhead were relocated to Indian Creek, while no steelhead were relocated into Little River. No
160 steelhead have been relocated since 2016 (Table 2).

161 1.2 Returning Adult Chinook salmon and steelhead population size estimates

162 We used multiple sampling techniques throughout the Elwha basin to monitor adult and juvenile Chinook
163 salmon and steelhead (Fig. 1). Specifically, we enumerated adult spawners and outmigrating smolts, and
164 used that data to produce estimates of abundance, productivity, and spatial distribution. Returning adult
165 Chinook salmon and steelhead were enumerated using two different multi-beam SONAR units, a DIDSON-
166 LR (0.7/1.1 MHz) and an ARIS 1800 (1.1/1.8 MHz) (Sound Metrics Corp., Bellevue, WA). The SONAR units
167 operated from late January or early February through September each year from 2013 to 2018. Chinook
168 salmon were counted from late May or June through September (2012–2020) and steelhead from late
169 January or February through mid-June (2013–2020).

170 *Field methods*

171 Continually changing river conditions and two distinct stream channels (migratory pathways) in the lower
172 Elwha River necessitated two separate SONAR installations to accurately detect upstream movements of
173 Chinook salmon and steelhead (Fig. 1). The primary enumeration site was located in the East Channel (EC)
174 while a secondary site was located in the West Channel (WC). Both sites were located at approximately
175 rkm 0.8. SONAR site selection was based on four criteria: 1) almost all fish would pass the site; 2) the

176 location was downstream of the majority of spawning habitat; 3) the river channel was sufficiently narrow
177 to accommodate the effective range of the SONAR; and 4) fish movement was primarily directed upstream
178 with little milling in the location of the SONAR. Depending on river discharge, the WC site was between
179 12 and 25 m wide and 1.3 m deep in the thalweg, while the EC site was 15 to 30 m wide and 2 m deep in
180 the thalweg. We estimated that during Chinook salmon migration approximately 80% of the flow was in
181 the EC, while the remaining 20% was in the WC. During the winter steelhead migration, the estimated
182 proportion of adult migrants was 60% EC and 40% WC.

183 *Data analysis*

184 During the upstream Chinook salmon migration, 20 minutes of each hour-long file was reviewed for fish
185 passage at each SONAR site, which is on the upper end of the range of recommended subsampling regimes
186 (Lilja et al. 2008). Due to relatively low spawner abundance during the steelhead season, the full hour was
187 reviewed. Several variables were noted for each fish passage event, including the date, time, direction
188 (upstream or downstream), distance from SONAR head, and body length (mm).

189 The net upstream fish passage count is tabulated by subtracting downstream passage events from
190 upstream passage events (Xie et al. 2005):

$$191 \qquad N = U - D \qquad (1)$$

192 Where N is the net upstream movement of fish for a given time period, U is the sum of upstream fish for
193 that time period and D is the sum of downstream moving fish for that time period.

194 To account for downstream migrating steelhead that had already spawned (kelts) we did not subtract
195 downstream moving targets for any 24-hour period that had a net total downstream passage. This
196 adjustment strikes a balance between accounting for kelts leaving the system that should not be
197 subtracted from the total escapement estimate versus subtracting downstream passage events that are

198 likely due to milling or spawning behavior in the vicinity of the SONAR site. This adjustment increases the
199 final escapement an average of 13% in any year over a strict application of equation 1. We were able to
200 calculate this percentage because the Elwha River currently has a unimodal winter steelhead run timing
201 with spawning concentrated in late-April through May, and most kelts leave the system after the majority
202 of the upstream run is over and when Chinook salmon are the predominate species migrating upstream.

203 To sum upstream and downstream passage events in each file, we also had to establish a minimum
204 threshold length to distinguish adult Chinook or steelhead from other species and life stages. We
205 accomplished this by using field-measured lengths of fish captured during weekly or bi-weekly in-river
206 tangle net sampling conducted at nine different sites within 1 km of the SONAR sites over the entire course
207 of the SONAR operation season. The netting also allowed us to estimate the onset and completion of the
208 Chinook salmon and steelhead run timing, and the proportion of each species present during the period
209 when they overlapped. The size thresholds for adult Chinook salmon and steelhead were 550 mm and
210 500 mm, respectively. The 550 mm threshold effectively excluded Chinook salmon jacks, smaller bodied
211 bull trout, and pink salmon. For steelhead, we used 500 mm as the minimum size threshold, which
212 excluded most bull trout. We then applied those criteria to all the raw targets to identify and count adult
213 steelhead and Chinook salmon.

214 To estimate annual escapement, we used four-step (Chinook salmon) and three-step (steelhead)
215 simulation models to adjust the total counts of the raw SONAR targets. In the first step, which was for
216 Chinook salmon only, we expanded the 20-minute counts to full hour counts (Lilja et al. 2008). Second,
217 we adjusted the raw counts to reflect the proportion of SONAR targets that were either Chinook salmon
218 or steelhead. Third, we corrected the species-specific counts to account for observer error. Lastly, we
219 filled in passage data for gaps in the data resulting from periods when the SONAR was not operating in
220 order to expand and correct the data. The simulation also provided season- and year-specific coefficients

221 of variation. Full methods utilized in this study including SONAR installation and performance of simulation
222 is described in Appendix A.

223 1.3 Origin of returning Chinook salmon and steelhead

224 We evaluated carcasses for hatchery marks to estimate the proportion of hatchery-origin Chinook salmon
225 returning to the Elwha River. Carcasses were collected via stream surveys, a channel-spanning weir
226 deployed from 2010–2013, and from the hatchery following spawning. We examined Chinook salmon for
227 four different hatchery marks. The primary marking strategy was a thermal otolith mark, with a goal of
228 100% marking. A subset of hatchery-reared Elwha Chinook salmon also received adipose fin clips and
229 Coded Wire Tags (CWT). Examining for adipose and CWT allowed us to detect Elwha-origin fish in cases
230 where thermal otolith marks were not successfully applied, and identify hatchery-origin fish from other
231 watersheds. Lastly, a small number of fish were considered marked as hatchery-origin based on scale
232 analysis that indicated they had growth patterns indicative of hatchery rearing, despite not carrying
233 otolith, adipose, or CWT marks. We view our estimates of the proportion of hatchery origin as minimum
234 hatchery mark rates because any non-Elwha origin or unsuccessful otolith marks from these fish would
235 only serve to increase the proportion of hatchery-origin Chinook salmon. We used mixed effects models
236 with binomial error structure and return year as a random effect to evaluate the hatchery mark
237 information.

238 Mark rate information, including adipose clip and CWT, was collected from steelhead captured during
239 SONAR species composition netting in the Lower Elwha River and limited additional sampling upstream
240 of the former dam sites from 2014–2018. The vast majority of the samples were collected within 1 km of
241 the Lower Elwha River hatchery where hatchery steelhead were reared and released. Consequently, there
242 is likely a bias towards hatchery fish in our sampling effort, and the data were therefore only used to
243 illustrate spatial differences in hatchery:natural origin proportions from 2014–2018. In 2019, a more

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244 intensive effort was undertaken to produce an unbiased estimate of basin-wide and reach-specific (Lower
245 Elwha, Middle Elwha between the former dams, and Upper Elwha above both dams) hatchery:natural
246 proportions which took into account spatial and temporal differences as well as differences in catch per
247 unit effort (CPUEs) between sites (Peters et al. 2020).

248 1.4 Abundance of subyearling and smolt outmigrants

249 Juvenile Chinook salmon and steelhead outmigrants were enumerated using rotary screw traps in three
250 locations of the Elwha River – the mainstem (rkm 0.3 and 3.3 in 2014–2018 and 4.0 in 2019–2020), Little
251 River (rkm 0.2), and Indian Creek (rkm 0.7) (Fig. 1). Mainstem trapping operations were typically
252 initiated by February 15th and completed by July 26th. Tributary operations in both Little River and
253 Indian Creek were initiated on January 27th, with Little River ending on average by June 22nd and Indian
254 Creek by September 5th. Monitoring in Little River typically ceased before Indian Creek due to low flows.
255 Trap operation on the mainstem trap was 73% (~118 days) of all potential days, while for the tributary
256 operations it was closer to 95% (Little ~139 days, Indian ~211 days) of all potential days.

257 *Field methods*

258 The traps were inspected and cleaned either daily or every other day at all sites. All captured fish were
259 removed from the trap box using dip nets and transferred to plastic buckets for identification. Each fish
260 was individually examined for tags or marks, identified to species, counted and immediately released
261 downstream of the trap. We utilized plexiglass fish viewers to facilitate fish identification. A weekly
262 subsample of all species caught was measured and weighed throughout the outmigration period. Salmon
263 produced at hatcheries were distinguished and identified by adipose clip and/or scanning for CWT and
264 enumerated separately from natural-origin fish. See Appendix B for details on determining the origin of
265 smolts.

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266 We estimated trap catch efficiency (proportion of total outmigrants captured) using multiple mark
267 recapture tests across the trapping season at all three trap sites. On the tributaries, weekly samples of 50
268 to 100 fish, representative of the species migrating at any given time (i.e., Chinook salmon subyearlings
269 or smolts, Coho salmon parr or smolts), were given a distinctive mark (Bismarck Brown) and released
270 approximately 100 m upstream of the trap site. For the mainstem trap, we used small-bodied (0+) Chinook
271 salmon or Chum salmon obtained from the LEKT and Washington Department of Fish and Wildlife
272 (WDFW) fish hatcheries and released approximately 1000 m upstream for trap efficiency trials. For the
273 small-bodied fish, we typically attempted multiple trials between late March and late May. Mainstem trap
274 efficiency for 1+ fish was estimated using 1+ Coho salmon clipped at either the Indian Creek or Little River
275 traps or 1+ hatchery Coho salmon released the same distance as 0+ fish in the mainstem.

276 *Data analysis*

277 We combined daily catch data with efficiency trials to estimate total production for each season. To
278 incorporate uncertainty due to periods of missing data and expansion based on trap efficiency, we applied
279 a flexible Bayesian model. Daily passage was assumed to follow a negative binomial distribution with a
280 mean constrained to change smoothly with time - a random walk. Catch was modeled as a binomial
281 distribution where the probability of capture was estimated from efficiency trials. Period-specific
282 efficiencies were assumed to be independent due to observed temporal trends in efficiency for some
283 traps. The estimates only incorporate passage during the trap operation. Therefore, if the trap was not in
284 place during fish passage, these fish were not included in the estimate. We summarize the results with
285 the median and 95% credible interval for total passage. We also include the CV and the geometric CV,
286 which is more appropriate for skewed distributions. See Appendix B for details of the smolt data analysis.

287 1.5 Examination of Chinook 0+ production per spawner vs. flow and sediment events during the egg
288 incubation phase

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289 We evaluated the relationship between river discharge and sediment transport on Chinook salmon
290 outmigration productivity (age-0 migrants/spawner) from 2011 to 2018. We used daily discharge data
291 (2011–2018) from the United State Geological Survey (USGS) (12045500 Elwha River at McDonald bridge
292 near Port Angeles, WA) and estimated suspended and bedload sediment discharge (tonnes per day)
293 (Ritchie et al. 2018). Estimates for naturally spawning Chinook salmon spawners (total escapement
294 estimate minus hatchery take) and the estimated number of Chinook 0+ outmigrating subyearlings was
295 used to generate a Chinook age-0/subyearling per spawner estimate for each year.

296 We developed a flow index for stream discharge that includes the number of days above $56.6 \text{ m}^3\cdot\text{s}^{-1}$
297 between October 1st and December 31st. We estimated that $56.6 \text{ m}^3\cdot\text{s}^{-1}$ is approximately the bankfull
298 discharge level where bedload will be mobilized (Ritchie et al. 2018), and assumed October 1st to
299 December 31st was the primary egg incubation and emergence period (Greene et al. 2005). We
300 summed the number of days above $56.6 \text{ m}^3\cdot\text{s}^{-1}$ and multiplied that by the average discharge greater
301 than $56.6 \text{ m}^3\cdot\text{s}^{-1}$ to give an indication of the overall duration and magnitude of potential events that
302 could have affected egg-to-fry survival for the period of incubation.

$$303 \quad \text{Number of days} > 56.6 \text{ m}^3\cdot\text{s}^{-1} * \text{average discharge} > 56.6 \text{ m}^3\cdot\text{s}^{-1} \quad (2)$$

304 Eq. 2 assumes that the number of days and the amount of flow over the course of the entire incubation
305 period would have the largest impact to egg-to-fry survival, a factor that can limit overall Chinook salmon
306 productivity (Greene et al. 2005). We did not account for when the flows specifically occurred, aside from
307 the overall seasonal period (i.e., early in incubation vs. when the eggs may have hardened or when the fry
308 would emerge from the gravels).

309 We developed a sediment transport index by summing the average total amount of sediment transport
310 (tonnes) during the egg incubation and emergence period (Ritchie et al. 2018). Processed data were not
311 available after September 30, 2016, so we estimated sediment transport from October 1, 2016 to

312 December 31, 2016 using bedload data from bedload impact sensor plates located near rkm 4.9 available
 313 from the Bureau of Reclamation (Personal communication with Rob Hilldale, Research and Development
 314 Office, U.S. Department of the Interior, Bureau of Reclamation (BOR), PO Box 25007, Denver CO 80225-
 315 0007, 303-445-3135). Based on prior years, the bedload sediment sensors quantify approximately 44% of
 316 the total estimated bedload transport. In addition, the daily bedload sediment is roughly 25% of the total
 317 sediment load mobilized. The overall total sediment discharge estimate for the October 1, 2016 to
 318 December 31, 2016 period is estimated with Eq. 3:

$$319 \quad \left(\text{Daily measured sediment bedload} \frac{\text{tonnes}}{\text{day}} \right) / 0.25 \quad (3)$$

320 We compared prior year estimates to measured sediment discharge, resulting in an r^2 of 0.89.

321 The flow-sediment index was calculated as the product of two values. The first is the sum of all daily
 322 discharge values (D_d) $> 56 \text{ m}^3 \cdot \text{s}^{-1}$ during egg incubation (October 1st to December 31st) and the second
 323 is the sum of all sediment (S_d) values during the same period.

$$324 \quad \text{flowSedIndex} = \sum_{d=Oct\ 1st}^{Jan\ 1} \begin{cases} D_d, & D_d > 56 \text{ m}^3 \cdot \text{s}^{-1} \\ 0, & D_d \leq 56 \text{ m}^3 \cdot \text{s}^{-1} \end{cases} \times \sum_{d=Oct\ 1st}^{Jan\ 1} S_d$$

325 (4)

326 We fit a linear model to the log-log relationship between the flow/sediment index and Chinook salmon
 327 subyearlings/spawner. We assumed that log Chinook salmon subyearlings/spawner was linearly related
 328 to the log flow-sediment index. Visual inspection of the relationship on the log-log scale suggested that
 329 the assumption of linearity was appropriate and that the variance was stable across the range of the
 330 flow-sediment index.

331 1.6 Adult-to-adult Chinook salmon productivity

332 We estimated the total number of adult fish produced by Chinook salmon spawning naturally in the Elwha
 333 River from 2004–2015 using a combination of abundance, hatchery mark, age structure, and harvest

334 information. For each return year, we estimated the number of naturally produced Chinook salmon by
335 multiplying the abundance of adults returning to the river by $(1 - \text{hatchery mark rate})$. Within each year,
336 we pooled all collection sources of hatchery mark rate data because the difference between sources was
337 very small ($< 2\%$ summed across all years, see Table 3). Within each return year, natural-origin adult
338 returns were then allocated to spawning cohorts using age data from scales collected from 2007 to 2019
339 (median = 449 individuals per year, range = 157–898). Because we sampled so few unmarked, natural-
340 origin salmon (≤ 55 each year, see Table 3), we assumed no difference in the age structure between
341 hatchery-origin and natural-origin fish. This allowed us to increase our age structure sample size, and
342 implicitly prioritized capturing age variation among years rather than age variation between hatchery-
343 origin and natural-origin salmon.

344 For each spawning cohort, the number of adult recruits returning to the river were further expanded by
345 estimates of fishery mortality. For fishing years 2007–2016, total exploitation rates of Elwha River Chinook
346 salmon were estimated by the Fishery Regulation Assessment Model (FRAM) validation run version 6.2
347 (Derek Dapp, personal communication WDFW, 1111 Washington St SE Olympia, WA 98501, 360-688-
348 6380). During this period, the majority of Elwha harvest mortality occurred in northern (Alaska, B.C) pre-
349 terminal ocean fisheries that might encounter Chinook salmon during the ocean-phase of their life cycle
350 (i.e., not on a spawning migration). Thus, for each spawning cohort, we used the median total exploitation
351 rate experienced by age-3, age-4, and age-5 fish to account for harvest in estimates of adult recruitment.
352 We report productivity, for both return to the river and after harvest, as the ratio of adult recruits to the
353 spawners that produced them. A value of 1.0 indicates replacement. We note that these productivity
354 estimates encompassed the period before and a small portion during (but not after) dam removal.

355 1.7 Spatial distribution of spawning Chinook salmon and steelhead

356 We conducted redd counts to determine the distribution of spawning Chinook salmon and steelhead.
357 Chinook salmon and steelhead spawning nests or “redds” were identified by disturbed areas in the
358 streambed where gravels were overturned and there was a clear depression and associated tailspill
359 (Gallagher et al. 2007). Each individual redd was geolocated (latitude and longitude) using a Garmin GPS
360 (model GPSmap 60CSx).

361 *Chinook salmon redd counts*

362 We conducted annual one- to five-day duration peak redd counts in the mainstem Elwha River, its larger
363 floodplain channels, and several major tributaries in mid-September from 2012 to 2018. Survey timing
364 was based on the estimated historical date of peak spawning activity for Elwha River Chinook salmon,
365 approximately September 15th–September 25th (personal communication with Randy Cooper, WDFW,
366 375 Hudson St. Port Townsend, WA 98368. 360-302-3030). The Elwha River was divided into three
367 sections. The Lower Elwha (LE) is defined as the area downstream of the former Elwha Dam (rkm 0.0-7.9).
368 The Middle Elwha (ME) is the reach immediately upstream of the former Elwha Dam, including the former
369 Aldwell Reservoir, upstream to the former Glines Canyon Dam (rkm 7.9-21.7). The Upper Elwha (UE) is
370 the reach upstream of the former Glines Canyon Dam, including the former Mills Reservoir, Cat and
371 Boulder creeks, upstream to Chicago Camp (rkm 21.7-61.6). The LE and ME were surveyed in all years,
372 while the UE was surveyed in 2016–2018. Supplemental surveys were conducted in the UE beginning in
373 2014 and 2015; however, these only included the former Mills Reservoir area from the former Glines
374 Canyon Dam (rkm 22) upstream to the entrance of Rica Canyon (rkm 25.7). We did not survey any of the
375 major canyon areas of the Elwha River during peak surveys with the exception of Rica Canyon in 2014 and
376 2015. These include the canyons above the former Glines Canyon Dam, including Rica Canyon (rkm 25.2),
377 Grand Canyon (rkm 31.2), and Carlson Canyon (rkm 52.6) (Fig. 1). Additionally, no comprehensive surveys
378 have occurred to date in larger upriver tributaries with the exception of Long Creek in 2018.

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379 Both river discharge and turbidity levels were highest in 2012, which limited surveys to above the Elwha
380 Dam site where turbidity levels were much lower. In 2013, water clarity of the river improved enough to
381 allow surveys below the former Elwha Dam and 2014 conditions allowed for a full survey from the mouth
382 to just above the former Glines Canyon Dam. Since 2015, turbidity has not been a factor during surveys in
383 any reach.

384 *Steelhead redd counts*

385 We conducted weekly to bi-weekly redd counts from February through June/early-July to determine the
386 location and timing of adult steelhead spawning (Gallagher et al. 2007). Most redd counts occurred in
387 tributaries because their water clarity was unaffected by dam removal and their small size made them
388 easy to survey. Surveys were completed in four Upper Elwha tributaries (estimated percent of potential
389 steelhead spawning habitat surveyed) including: Cat Creek (100%), Long Creek (90%), Hurricane Creek
390 (100%) and Boulder Creek (100%) and six Middle Elwha tributaries: Little River (50%), Indian Creek (25%),
391 Griff (100%), Madison (100%), Campground (Sanders) (100%), and Hughes Creeks (100%). Surveys of the
392 mainstem channel were conducted as conditions allowed, but the frequency was severely limited by
393 reduced water clarity that often made it impossible to visually identify and count redds.

394 *Snorkel surveys*

395 We conducted annual snorkel surveys in Little River (2013) and the mainstem Elwha River (2016–2020).
396 The objective was to enumerate adult Chinook salmon, summer steelhead, and the presence/absence of
397 juvenile salmonids in the sample areas. Snorkel counts were conducted in August, September, or October,
398 depending on the year, though in most years surveys occurred from early- to mid-September to coincide
399 with the peak spawn timing of Chinook and ensure the majority of adult summer steelhead had entered
400 freshwater (Table 1). The survey in 2013 was conducted only in Little River, a tributary in the middle Elwha
401 River, because it was the only easily accessible stream with adequate visibility for underwater surveys.

402 From 2016–2020 we conducted snorkel surveys in the mainstem Elwha. The length of stream surveyed
403 varied by year depending on stream flows, visibility, and access to the backcountry wilderness. Generally,
404 surveyors covered more extensive sections of the mainstem Elwha River as sediment levels stabilized
405 following dam removal and stream visibility became increasingly sufficient to observe, distinguish, and
406 enumerate adult steelhead.

407 Once in the water, divers moved downstream and would enumerate fish in each habitat unit and then
408 relay those numbers to a bank recorder. Generally, the process consisted of two divers swimming
409 downstream side-by-side. However, the upper Elwha River became low and clear enough in 2019 that one
410 experienced diver covered the vast majority of habitat. Multiple divers were used further downstream
411 where the river became larger and more difficult to cover with a single diver. Summer steelhead were
412 distinguished from resident rainbow trout by their relatively large size, silvery coloration, presence of a
413 strong sea line, and few spots below the lateral line. Divers also classified each adult steelhead as
414 hatchery, wild, or unknown, depending on the presence of an adipose fin, which is clipped on the majority
415 of hatchery summer steelhead in Washington State. For 2013 and from 2016–2018 we conducted multiple
416 snorkel counts to estimate the relative abundance of adult summer steelhead, which is the total number
417 of steelhead observed each year.

418 **Results**

419 2.1 Returning adult Chinook salmon and steelhead population size estimates

420 Prior to dam removal (1986–2010), the number of returning adult Chinook salmon to the Elwha River
421 averaged 2,827 (S.D. 1,778) (Fig. 2a). During dam removal (2011 to 2015), the number of adult Chinook
422 salmon returning to the Elwha River averaged 3,444 (S.D. 1,125). Post dam removal adult Chinook salmon
423 returning to the Elwha River averaged 4,734 (S.D. 2,409). In-river Chinook salmon spawners during those
424 periods averaged 1,393 (S.D. 1,218), 1,930 (S.D. 747), and 3,523 (S.D. 1,949), respectively (Fig. 2a). The

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425 proportion of total returning adult Chinook salmon that were taken for hatchery breeding purposes pre
426 dam removal was 53% (S.D. 15%), compared to 45% (S.D. 6%) during dam removal and 31% (S.D. 8%) post
427 dam removal.

428 The estimated number of returning adult winter steelhead to the Elwha River from 2013 to 2020 ranged
429 between 385 and 1,985. In 2020, the number of returning adult winter steelhead was estimated at 1,985.
430 Returning adult winter steelhead increased from 2013 to 2015, with a decrease in 2016, followed by
431 increasing numbers (Fig. 2b). A relatively small proportion of fish were taken for hatchery purposes, so
432 the abundance of naturally spawning steelhead closely follows the pattern of total abundance (Fig. 2b).
433 The utilization of SONAR for enumerating Chinook salmon and steelhead adult returns allowed us to
434 quantify several sources of error in estimating abundance. For both species, filling data gaps when the
435 SONAR is not in-river was typically the largest source of uncertainty, with a CV of 3.3% (S.D. 1.7%). This is
436 followed by observation error (CV 2.6%, S.D. 1.4%), species composition identification (CV 2.4% S.D. 1.4%),
437 and expansion from sub-sampling (CV 1.9%, S.D. 1.7%).

438 2.2 Proportion of natural and hatchery-origin Chinook salmon and winter steelhead spawners

439 Across return years 2009–2020, the median proportion of hatchery-origin Chinook salmon was 96.0%
440 (range = 90.3–98.0%, Table 3). The hatchery mark rate in return years 2016–2020, when some naturally
441 spawned salmon might have been produced upstream of the Elwha Dam site, was no different than 2009–
442 2015, based on a mixed effects model ($p > 0.10$).

443 Combined across return years 2014–2018, the proportion of hatchery winter steelhead captured during
444 net sampling was 85% below the former dams (below former Elwha Dam site) and 25% above the former
445 dams (above former Elwha Dam) (Table 4). In 2019, the calculated range of proportion of hatchery origin
446 (pHOS) of winter steelhead for the whole basin was estimated to be ~38% (Peters et al. 2020). pHOS for

447 steelhead in 2019 was 55% (40 of 73) below the former dams and 0% (0 of 24) above the former dams
448 (Table 4).

449 2.3 Abundance of subyearling and smolt outmigrants

450 The average Chinook salmon subyearling (age 0+) and yearling (age 1+) hatchery releases prior to dam
451 removal (pre 2011) was 2,596,545 (S.D. 801,861), in comparison to an average release of 1,953,609 (S.D.
452 808,897) during and after dam removal. The number of natural-origin outmigrating 0+ Chinook salmon
453 from the Elwha River averaged 43,828 (S.D. 47,932), 46,973 (S.D. 39,798), and 323,764 (S.D. 407,976),
454 before, during, and after dam removal, respectively (Fig. 3a). There was a dramatic increase in the
455 estimated number of natural-origin outmigrating 0+ Chinook salmon in 2019 and 2020 (Fig. 3a) to over
456 500,000 0+ Chinook salmon in 2019 and near 1 M in 2020 (Fig. 3a). The 2016, 2017, and 2020 estimated
457 1+ Chinook salmon outmigrants were 1-2 orders of magnitude less than the subyearling outmigrants, the
458 only years trap efficiency was sufficient to allow estimates of 1+ outmigrants (Table 5). The average
459 steelhead smolt hatchery releases during and after dam removal of steelhead was approximately 122,596
460 (S.D. 53,514). The average natural origin estimates of outmigrating steelhead smolts during and after dam
461 removal was 8,884 (+/-5,380) (Fig. 3b).

462 Between 2013 and 2020, outmigrating 0+ Chinook salmon from Indian Creek, a tributary located at rkm
463 12.1 not impacted by the sediment supply changes from the dam removal, ranged between 1,188 and
464 129,759 and averaged 53,396 (Fig. 3c). Between 2013 and 2020, steelhead smolts from Indian Creek
465 averaged 1,523 with a low of 146 in 2014 and a high of 2,550 in 2019 (Fig. 3d). There has been an increasing
466 trend in the number of steelhead smolts from Indian Creek since 2014 (Fig. 3d).

467 2.4 Examination of Chinook salmon age-0/subyearling per spawner vs. flow and sediment events during
468 the egg incubation phase

469 Examination of the discharge, sediment, and subyearling Chinook migrants per spawner data from 2011
470 to 2018 reveals a correlation between the flow/sediment index and Chinook subyearlings per spawner
471 (Fig. 4). The number of Chinook age-0/subyearling per spawner decreases with an increase in the flow-
472 sediment index where the intercept and slope were 5.73 and -0.436 respectively. During and after dam
473 removal, the years 2014, 2015, and 2017 had the highest flow-sediment index, and the lowest estimated
474 Chinook salmon freshwater productivity. These data suggest an inverse relationship between the flow-
475 sediment index and freshwater productivity.

476 2.5 Smolt-to-adult and adult-to-adult Chinook salmon productivity

477 Smolt-to-adult return rates (SAR) varied by Chinook salmon origin (natural vs. hatchery) (Fig. 5). Overall,
478 SAR of natural-origin Chinook salmon was consistently greater than hatchery-origin Chinook salmon in the
479 Elwha River. Prior to dam removal (brood years 2005–2010), hatchery-origin Chinook salmon SAR rates
480 averaged 0.11% (SD +/-0.098%) while natural origin Chinook salmon SAR rates averaged 0.54% (SD +/-
481 0.59%). During dam removal (brood years 2011–2015), SAR rates for hatchery Chinook salmon slightly
482 increased (average = 0.21%, SD +/- 0.12%) but not for natural origin Chinook salmon (average = 0.53%, SD
483 +/- 0.40%).

484 Adult-to-adult productivity of Chinook salmon spawning naturally in the Elwha River was well below the
485 replacement value of 1.0 each year 2004–2016 (Table 6). Return-to-the-river estimates were ≤ 0.50 in all
486 years and ≤ 0.15 in nine of 13 years. Accounting for harvest increased productivity estimates somewhat,
487 but not enough to exceed replacement in any year.

488 2.6 Spatial distribution of spawning Chinook salmon and steelhead

489 Chinook salmon immediately utilized the Middle Elwha River since the removal of the Elwha dam (rkm
490 7.9) in April of 2012 (Fig. 6). Since dam removal, the density of Chinook salmon redds in the Middle Elwha
491 has been similar or greater than the densities below the former Elwha Dam. Chinook salmon redds have

492 been consistently observed above the former Glines Canyon Dam (rkm 21.6) since 2016, after the
493 blockage was removed at the site in 2015. Since 2015, there has been an increasing number of Chinook
494 salmon redds, while the overall extent of redd distribution (difference between furthest upstream and
495 downstream) has ranged between 45 and 55 km upstream. Former Mills Reservoir (rkm 22 to 25) has seen
496 an increase in the number of Chinook salmon redds from 2016 to 2018. The location of the 90th percentile
497 redds, organized from downstream to upstream, also expanded to above the former Glines Canyon Dam
498 site after the blockage was removed in 2015. The overall distribution of Chinook salmon redds from 2015
499 to 2018 above the former Glines Canyon dam site is heavily skewed towards the former Mills Reservoir
500 area, with relatively few overall Chinook salmon redds above rkm 25 (Fig. 6).

501 Steelhead utilization of tributaries in the Middle and Upper Elwha have also increased since the Elwha
502 River dam removals (Fig. 7). Little River has had the most documented steelhead spawning activity since
503 dam removal began in 2011. Indian Creek, located directly to the west of Little River, has also had
504 consistent steelhead spawning since 2011, while other tributaries such as Hughes Creek have increased
505 over that same period (Fig. 7). Barrier removal of the blockage at former Glines Canyon Dam resulted in
506 the utilization of tributaries that drain into and above former Mills Reservoir (Fig. 7). Overall, for both
507 Chinook salmon and steelhead, the spatial distribution of redds shifted from the Lower Elwha prior to
508 dam removal to the Middle Elwha during and after dam removal.

509 2.6 Steelhead life history diversity

510 The number of observed adult summer run steelhead has increased since 2013 (Table 7). The number of
511 observed summer steelhead were respectively one and six in 2013 and 2016 in a relatively small amount
512 of area surveyed across the Elwha River (5 to 18 km respectively). The number observed increased in 2017
513 to 74 during the month of September, and 216 in 2018 when a much large portion of the river system was
514 surveyed during an extensive snorkel survey (63 km total extent) (Table 7). Approximately 90% of the

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515 steelhead observed in 2018 were located between rkm 35 and 58, which is comparable to the 2017
516 snorkel survey (Table 7). In 2019, the number of summer run steelhead directly observed through snorkel
517 surveys in the Elwha River was 341, followed by a decrease to 114 in 2020 (Table 7).

518 Discussion

519 Damming rivers causes fundamental changes to the aquatic ecosystem including shifts in ecological
520 communities and altered watershed baseline conditions (Bellmore et al. 2019). Dam removal may reverse
521 those changes, but because removal of large dams is a relatively new conservation action, many questions
522 remain about the possible physical and ecological outcomes (Bellmore et al. 2019). The removal of the
523 Elwha River dams is unique because of the large magnitude and short duration of change to the physical
524 environment, combined with the relatively intact state of the majority of the watershed upstream of the
525 former dam locations. As a result, influences on the Elwha River ecosystem include a short-term major
526 disturbance in the form of a large-scale increase and subsequent reduction in sediment supply, the
527 creation and alteration of geomorphic features and aquatic habitats (e.g., estuarine river delta), and
528 access to a large expanse of previously inaccessible intact aquatic habitats for fish to recolonize. The
529 physical effects of dam removal is coupled with other salmon management actions in the Elwha River
530 basin including hatchery production and a fishing moratorium implemented in 2011 that will continue
531 through at least June 2021 (Peters et al. 2014). The combined effects of dam removal, hatchery
532 management, habitat restoration prior to dam removal (Pess et al. 2012a), and the fishing moratorium
533 have induced an array of large-scale physical and ecological changes in the Elwha River ecosystem.

534 3.1 Dam removal, a change in sediment supply, and its impacts to Chinook salmon and steelhead

535 Downstream sediment movement from the former reservoirs, corresponding geomorphic and aquatic
536 habitat changes, and salmon response to the magnitude and rate of reservoir sediment erosion was one
537 of the largest unknowns associated with the removal of the Elwha River dams. The rate of dam removal

538 was designed to be fast enough to affect only several generations of salmon, but slow enough that
539 reservoir sediment erosion and redistribution kept pace with dam removal and maintained conditions
540 suitable to meet residential and commercial water needs provided by the Elwha River (Randle et al. 2015).
541 Removal of the Elwha Dam and drawdown of the former Aldwell Reservoir began in September 2011 and
542 was completed by April 2012. Former Mills Reservoir was lowered according to planned increments in the
543 first year (September 2011 to October 2012), followed by one year of no removal due to technical issues
544 (Magirl et al. 2015; Warrick et al. 2015). Although Glines Canyon Dam deconstruction was finalized in
545 October 2014, additional demolition was required through October 2016 to clear boulders and rockfall
546 impeding fish passage downstream of the former dam.

547 The magnitude of sediment effects in each year was determined by the supply of accessible sediments in
548 the former reservoirs, the magnitude of flows relative to mobilization thresholds, and the timing of flows
549 relative to the salmon life cycle. A large quantity of sediment was accessible in the former reservoirs
550 immediately after dam removal was initiated, but higher magnitude flows did not occur for several years.
551 Suspended sediment concentrations were consistently high during the Chinook salmon egg-to-fry
552 incubation period from October through December of 2012, largely due to the considerable increase in
553 sediment supply as 3 Mt of stored sediment were mobilized (Ritchie et al. 2018). This was in combination
554 with bed material movement, which was initiated en masse from former Mills Reservoir, aggrading the
555 streambed in the Middle Elwha by over 1.0 m and the Lower Elwha by 0.5 m after October of 2012 (Ritchie
556 et al. 2018). However, high discharge events did not occur until 2014 and 2015, when several discharge
557 events that were above the two year recurrence interval (RI) and two near or over the 10-year RI caused
558 further aggradation and degradation (+/- 0.3 m, Ritchie et al. 2018). Over 3 Mt and over 1.5 Mt was
559 mobilized in 2014 and 2015 respectively. The years 2011 and 2013 did not have the same large discharge
560 events, with only 151 and 865 thousand metric tonnes (Kt) mobilized respectively. Both flow and sediment

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561 discharge was relatively low in 2018, correlating to one of the highest juvenile Chinook salmon fry
562 migrants per spawner estimate during and post dam removal.

563 The massive change in sediment supply during dam removal affected outmigrating salmonid smolts, as
564 well as the ability to enumerate outmigrating smolts. This impact was most likely due to changes in
565 streambed scour and fill associated with changes to the sediment supply, since fine sediment deposition
566 in mainstem spawning riffles for Chinook salmon appeared limited through 2014 (Peters et al. 2017).
567 Salmonid reproductive success, measured as egg-to-fry survivorship, depends in part on egg burial depths
568 exceeding the depth of streambed scour during the incubation period (Montgomery et al. 1996; DeVries
569 1997). Salmonid egg burial depths in the streambed can range from 0.03 m to 0.5 m depending upon the
570 species, size of the female, substrate size, and multiple other factors (DeVries 1997). The likelihood of
571 scour affecting salmon redds typically increases as the sediment supply increases beyond the normal
572 variation (Tripp and Poulin 1986). During the dam removal years, aggradation and degradation in the
573 mainstem Elwha River approached or exceeded these depths, suggesting significant impacts to survival
574 during egg incubation.

575 Our ability to integrate changes in physical habitat, such as flow and sediment, during a specific period in
576 life was critical to understanding the survival of naturally spawning Chinook salmon. Our combined index
577 of flow and sediment discharge captured the annual variability of physical impacts during the Chinook
578 salmon egg-to-fry incubation stage and provided a strong indicator of disturbance to the streambed. This
579 was important because annual flow and sediment discharge were not synchronous during and
580 immediately after dam removal. The asynchronous nature of peak flows and sediment supply in the Elwha
581 River basin from 2011 to approximately 2015 is common to Puget Sound rivers that have had varying land
582 use and flow impacts. The progressive loss of channel capacity in the Skokomish River, for example, was
583 due to a combination of increased sedimentation from one portion of the sub-basin, coupled with reduced
584 downstream flows in another portion of the sub-basin (Collins et al. 2019). The result was an unusual

585 effect of downstream channel capacity decrease and increased flooding where these impacts spatially
586 coalesced (Collins et al. 2019). Thus, our ability to integrate changes in physical habitat, such as flow and
587 sediment, during the egg-to-fry life stage of Chinook salmon was critical to understanding the survival of
588 the fish that spawned naturally in the Elwha River.

589 Outmigrating steelhead smolts did not follow a similar correlation to the change in sediment supply as
590 Chinook salmon. There are several potential reasons for this. First, steelhead spawn in spring rather than
591 late summer through early fall, and their emergence occurs in summer, both of which are after the peak
592 flow events. Second, their spawning locations differed from Chinook salmon to some degree. For instance,
593 while steelhead also spawned in the mainstem, they frequently spawned in clear water tributaries. Thus,
594 the timing and location of their spawning and emergence reduced their vulnerability to the sediment
595 impacts. Accordingly, as annual sediment loads stabilize to background levels (Ritchie et al. 2018) and
596 conditions in the mainstem become more favorable for spawning and egg incubation, we hypothesize
597 future survival will increase and become more similar to what we observed for Chinook salmon in 2018.

598 3.2 The role of hatchery Chinook salmon and steelhead

599 Major dam removal projects present several benefits, risks, and challenges to recovery of salmon and
600 steelhead populations. For example, managers face decisions with complex trade-offs, including whether
601 to use hatcheries or rely on natural origin fish for recolonization, the source of potential hatchery brood,
602 and the colonization strategy, or method by which salmon will reach the newly accessible habitat
603 (Anderson et al. 2014). In the case of the Elwha River, the long-term goal is self-sustaining natural
604 reproduction that can support fisheries without the need for hatchery supplementation (Ward et al. 2008;
605 Peters et al. 2014).

606 Hatcheries on the Elwha River are being used to reduce the risk of population extinction and to increase
607 the abundance of Chinook salmon and steelhead source populations that represent a unique component

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608 of regional genetic diversity (Ward et al. 2008; Peters et al. 2014). Within the Puget Sound Chinook salmon
609 Evolutionary Significant Unit (ESU), where genetic homogenization of Chinook salmon has been
610 widespread, Elwha Chinook salmon are unique in that they are only similar to the neighboring Dungeness
611 population but different from the other 20 extant populations (Ruckelshaus et al. 2006). Prior to dam
612 removal, hatchery managers intentionally avoided releasing non-native Chinook salmon into the Elwha
613 River to preserve their unique genetic lineage (Brannon and Hershberger 1984). The current winter
614 steelhead hatchery program is more recent (first releases in 2011) but is also derived from the native gene
615 pool; a previous winter steelhead hatchery program using non-native origin steelhead was phased out in
616 2012. For both hatchery programs, the intent was to provide demographic insurance during dam removal
617 and lower the risk of extinction following the release of large quantities of sediment from the former
618 reservoirs. Additionally, for both species, the recolonization strategy has largely relied on natural
619 colonization, as the vast majority of Chinook salmon and steelhead spawning above the Elwha Dam site
620 have volunteered to those locations without translocation (see Tables 1 and 2).

621 As stated previously, the long-term goal for the Elwha Chinook salmon and steelhead populations is self-
622 sustaining natural reproduction without hatchery supplementation. Currently, the Chinook salmon
623 population is demographically dominated by hatchery-origin fish ($\geq 90\%$ in all years, Table 3), and natural
624 reproduction is well below replacement (Table 3). Thus, the adult abundance fluctuations we have seen
625 since dam removal for Chinook salmon in the Elwha River are, in large part, due to hatchery production
626 and survival of hatchery-reared juveniles. A sustained increase in natural origin adult abundance would
627 signal less demographic reliance on hatchery production and suggest hatchery releases could be reduced
628 while maintaining abundance. However, considering the long duration of hatchery production, and the
629 associated potential domestication selection for traits advantageous to the hatchery environment and
630 loss of fitness in the wild (Christie et al. 2014), some level of re-adaptation to the natural environment
631 may be necessary for population growth. Under this hypothesis, the naturally spawning population must

632 have a level of reproductive isolation from the hatchery to observe any such “re-wilding,” and reduced
633 hatchery production could help achieve this goal. Whether the population retains suitable genetic
634 material for re-wilding and the degree of reproductive isolation needed to achieve it are open questions.

635 The role of hatchery operations for winter steelhead in the Elwha River differs from Chinook salmon. The
636 proportion of hatchery-origin spawners is less, and their distribution is different from natural-origin
637 spawners. The majority of identified adults that have returned above the dams are natural origin but are
638 genetically similar to the below dam population which includes the native broodstock program (Fraik et
639 al. 2021). Lastly, there has been a re-awakening of a summer steelhead population (Nichols et al. 2019).
640 Each of these factors point towards recovery.

641 3.3 Dam removal, an increase in the amount of available salmon and steelhead habitat, and population
642 expansion

643 Since the removal of the Elwha Dam (rkm 7.9) in April of 2012, Chinook salmon have utilized the Middle
644 and Upper Elwha River, increasing in number upstream of the former barriers and total spatial extent.
645 Expansion of adult Chinook salmon into newly opened habitats is a typical result of barrier removals
646 (Kiffney et al. 2009; Pess et al. 2014; Anderson et al. 2015). Chinook salmon population stray rates range
647 from less than 5% to up to 34%, averaging ~15% (Westley et al. 2013; Keefer and Caudill 2014; Pearsons
648 and O’Connor 2020), so some colonizers may have originated from other river systems. Close proximity
649 to a source population tends to increase the rate of recolonization (Pess et al. 2014; Pearsons and
650 O’Connor 2020), and in this case, prior to dam removal, both Chinook salmon and steelhead spawned in
651 the lower river below the Elwha Dam, and a large number of hatchery-origin Chinook salmon returned
652 annually to the lower river. Furthermore, the expansion of distribution by adult Chinook salmon can allow
653 for increases in population productivity (recruits per spawner) and population growth rates (Anderson et
654 al. 2014; Pess et al. 2014). For example, the estimated numbers of recruits per spawner were two times

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655 greater for spawning pink salmon in the Fraser River above the former Hell's Gate rockfall than below it
656 during the peak time of recolonization (Pess et al. 2014). However, the uneven distribution of Chinook
657 salmon above the former Glines Canyon dam site, which is heavily skewed towards the former Mills
658 Reservoir area, may impact population productivity and population growth rates.

659 Winter and summer steelhead have also shown positive trends in abundance and expansion in the Elwha
660 River during and after dam removal. Overall, winter steelhead estimates have increased from the
661 hundreds to >1,000 in a matter of several years. Hatchery-origin steelhead have made a significant
662 contribution to the overall increased abundance of winter steelhead, but our samples of adults upstream
663 of the former dam sites suggests it is being driven by natural origin fish, with increased expansion into
664 reconnected tributaries since 2015. Steelhead stray rates from other nearby donor populations range
665 from less than 5% to 14% (Keefer and Caudill 2014; Pess et al. 2014; Pearsons and O'Connor 2020), while
666 winter steelhead recipient stray rates are typically greater than donor stray rates (~29%) (Pearsons and
667 O'Connor 2020). As with Chinook salmon, the combination of factors make steelhead conducive to
668 population expansion by natural origin spawners (Pess et al. 2014; Pearsons and O'Connor 2020).

669 Resident rainbow trout upstream of the former barriers may also have contributed to population
670 expansion. Resident rainbow trout can be a source to anadromous populations, particularly when
671 anadromous adult abundances are low (Losee et al. 2020), and populations isolated above barriers often
672 retain both the genetic (Clemento et al. 2008) and physiological (Holecek et al. 2012) traits of anadromy.
673 Resident rainbow trout upstream of Glines Canyon Dam were producing migrants that were seawater
674 tolerant and apparently capable of an anadromous life history as late as the early 1990's (Hiss and
675 Wunderlich 1994). Residents can also mate with (McMillan et al. 2007) and contribute genes to their
676 anadromous counterparts (Christie et al. 2011). Considering the abundance of resident rainbow trout
677 above the dams, dam removal may have facilitated more interactions between the two life histories and
678 thereby increased the number of breeders and genetic variation (Weigel 2013).

679 3.4 Can life history diversity increase with dam removal?

680 Increased life history diversity was a predicted response to the removal of the Elwha River dams
681 (Brenkman et al. 2008; Pess et al. 2008), and adaptive management guidelines recognized the importance
682 of life history diversification to the recovery of Chinook salmon and steelhead in the basin (Peters et al.
683 2014). Given the considerable longitudinal differences in habitat characteristics in short, coastal rivers
684 such as the Elwha River (e.g., temperature, gradient, floodplain valley width), colonization of upstream
685 habitats may present new environmental conditions. Diversification of habitat niche utilization during
686 colonization can increase life history diversity, and in turn, benefit abundance and productivity. In Puget
687 Sound, snowmelt river conditions favor early adult spawning and stream-type juvenile rearing strategies
688 in Chinook salmon, but occupancy of these headwater habitats is under-represented in the region due to
689 dams, restricting life history diversity (Beechie et al. 2006).

690 Specific life history types of Chinook salmon and steelhead in the Elwha River were thought to be part of
691 those populations historically, including spring Chinook salmon and summer steelhead, due to the
692 environmental conditions and geomorphic characteristics of the Elwha River basin (Beechie et al. 2006;
693 Brenkman et al. 2008; Pess et al. 2008). The cold-water stream temperature regime above the dams had
694 been thought to be conducive to slower growth rate and overall size of juvenile Chinook salmon, creating
695 a growth trajectory favoring the stream-type life history characterized by one year of freshwater rearing
696 prior to outmigration (Beechie et al. 2006; Pess et al. 2008). Similarly, summer steelhead were
697 hypothesized to predominate in the upper Elwha River basin due to its series of canyons interspersed
698 between alluvial valleys, creating habitats conducive to that life history (Brenkman et al. 2008).

699 Summer steelhead have been documented over the last four years, increasing in numbers from 2015 to
700 2019 (Table 7). The “reawakening” of the summer steelhead life history strategy in the Elwha River,
701 particularly since 2017, is a positive sign that the ability of fish from the basin to express this life history

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702 strategy is a response to dam removal and re-connectivity of the watershed. Configuration of the Elwha
703 River watershed and potential genetic disposition of resident rainbow trout could both play a role in this
704 life history re-expression since dam removal. As we have already stated, the Elwha River is a series of
705 alternating alluvial and canyon reaches, and it has generally low stream temperatures for the majority of
706 the basin across the year, both of which favor expression of the summer steelhead life history. Preliminary
707 genetics work completed suggest that these fish are most likely originating from the resident rainbow
708 trout above both dams, owing to the harboring of alleles for early run timing in the up-river population
709 (Nichols et al. 2019).

710 3.5 The role of a terminal fishing moratorium in Chinook salmon and steelhead abundance

711 The Elwha River has been under a recreational and commercial fishing closure since 2011 to eliminate
712 harvest impacts and allow for the rebuilding of salmonid runs before and after dam removal. Moratoriums
713 and banning specific fisheries for a period can affect salmon populations in many ways. For example, a
714 ban on a Norwegian coastal drift net fishery resulted in a change in the age structure of returning adult
715 Norwegian Atlantic salmon (*Salmo salar*) as well as other Atlantic salmon populations in Russia (Jensen et
716 al. 1999). Closure of the Newfoundland commercial Atlantic salmon fishery for one year resulted in a
717 variable response to 25 rivers throughout Newfoundland, with some spawning escapements increasing
718 by a factor of two, while others showed lower than average returns post closure (Dempson et al. 2004).
719 Additionally, steelhead on the Kamchatka Peninsula were dramatically reduced due to illegal fishing in the
720 early 1990s, which was correlated with an increased proportion of residents, a pattern that reversed when
721 illegal fishing was ended (Savvaitova et al. 1997; Savvaitova et al. 2002).

722 Attempts to re-establish self-sustaining populations through barrier removals can also be hindered by
723 fisheries (Pess et al. 2012a; Anderson et al. 2014; Bellmore et al. 2019). The harvest moratorium in the
724 Elwha River was used as a means to increase abundance in the short term, reduce risk of population

725 extinction during dam removal, and increase the number of potential colonizers. It has resulted in an
726 average offshore exploitation rate of 15% (+/-7%) for Elwha River Chinook salmon (FRAM validation run
727 6.2, D. Dapp, Washington Department of Fish and Wildlife, unpublished data). In contrast, Chinook salmon
728 exploitation rates for Puget Sound during the same period averaged 31% (+/-5%) (FRAM validation run
729 6.2, D. Dapp, Washington Department of Fish and Wildlife, unpublished data). Assuming Elwha River
730 Chinook salmon would have been harvested at a similar rate, the moratorium has resulted in 3,754 (+/-
731 1,668) additional spawners, which equates to roughly one additional year of adult Chinook salmon returns
732 over that time frame. We do not know the Elwha River steelhead exploitation rates prior to the
733 moratorium, however, using the average steelhead harvest rate in Puget Sound for the same period 7%
734 (S.D. 6%) (Cram, Kendall et al. 2018), which results in 493 (+/-35) additional spawners since 2011.

735 3.6 Can we determine success yet?

736 Recolonization of larger watersheds can take up to 20 years or more (DOI 1996; Milner et al. 2008; Pess
737 et al. 2012a), while smaller watersheds can establish self-sustaining salmon populations in five years or
738 less (Bryant et al. 1999; Glen 2002). It is too early to characterize the response of Chinook salmon and
739 steelhead populations to the Elwha River dam removals and associated management actions since there
740 has not yet been one complete generation since dam removal was completed. Thus, determining if there
741 is a self-sustaining spawning population of Chinook salmon and steelhead, and which factors have most
742 contributed to any changes seen to date, is premature. However, in the short period since dam removal
743 we found several promising results that point towards eventual success.

744 For example, increasing trends in abundance for adult Chinook salmon and steelhead are a positive sign,
745 even though the vast majority of Chinook salmon are hatchery origin. Given our estimates of hatchery
746 mark rates, at this point, the abundance of adult Chinook salmon is largely driven by the number of fish
747 released from the hatchery, and their post-release survival. However, the increase currently documented

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748 was not seen in the 20 years prior to dam removal, nor has such an increase occurred recently in any other
749 Puget Sound or Washington Coast watershed during this period. In addition, the increase in natural-origin
750 Chinook salmon outmigrants and natural-origin steelhead adults suggest the river habitat conditions are
751 improving and fish are increasingly colonizing more habitat as the sediment load becomes more
752 normative.

753 The preceding changes combined with expansion of Chinook salmon and steelhead adults into upstream
754 habitats that are protected within Olympic National Park, a forested wilderness without roads, will allow
755 the populations to occupy more natural in-river and riparian conditions relative to the Lower Elwha River.
756 Intact aquatic habitat conditions can play an important role in the survival, productivity, and overall
757 abundance of salmonids (Pess et al. 2002; Magnusson and Hilborn 2003). However, it is also important to
758 remember that expansion into areas upstream of former barriers can lead to relatively higher productivity
759 rates, irrespective of habitat conditions (Pess et al. 2012a).

760 One of the most important attributes associated with successful salmon colonization in newly opened
761 habitats is the link between compatible life history adaptations and geographic, hydrologic, and ecological
762 characteristics (Pess et al. 2014). In the Elwha River, the “re-awakening” of the summer steelhead life
763 history strategy resulted in large-scale increases in returning summer steelhead adults in a short time
764 period. Observations of less than ten to hundreds in a matter of several years suggest that the linkage for
765 summer steelhead between life history, genetic disposition, and physical habitat characteristics is
766 conducive to the establishment of a self-sustaining summer steelhead population. While initial post dam
767 removal fish monitoring in the Elwha River has already provided encouraging results, continued
768 monitoring over the ensuing decades will be necessary to inform whether these types of large-scale
769 restoration actions can lead to the establishment of self-sustaining salmon populations.

770 We suggest rather than focusing on one set of specific actions for salmon population recovery (i.e., dam
771 removal) it is important to understand and recognize that cumulative, simultaneous restorative actions
772 (cumulative recovery actions) will be necessary to reverse the trend of declining salmon and steelhead
773 populations. The Elwha River points to an integrated set of actions that include habitat restoration,
774 harvest moratorium, and hatchery production designed help jumpstart population recovery for Chinook
775 salmon and steelhead. The Elwha River dam removal has benefited Chinook salmon and steelhead
776 populations with increases in habitat amount and types. Harvest restrictions have also benefited Chinook
777 salmon and steelhead and allowed for increases in population abundance and expansion. Hatchery
778 production has helped to preserve and increase the overall abundance of Elwha Chinook salmon and
779 winter steelhead, particularly during dam removal. Summer steelhead, due to their source population
780 likely being in the resident rainbow trout population above the former dams (Prince et al. 2017, Nichols
781 et al. 2019; Fraik et al. 2021), are increasing in abundance in the absence of hatchery intervention. We
782 hypothesize that none of these factors, alone and in isolation, would lead to the changes we have
783 documented. Thus, just as multiple, cumulative impacts contributed to the depleted salmon populations
784 and degraded habitat conditions prior to dam removal, reversing those effects and recovering abundant
785 and diverse salmon populations and high quality habitat will require multiple, and synchronized
786 cumulative large-scale recovery actions.

787

788 Acknowledgements

789 We thank Mel Elofson, Raymond Moses, Ernest Sampson, Wilson Wells, Vanessa Castle, McKenzi Taylor,
790 Justin Stapleton, Allyce Miller, Rebecca Paradis, and Kim Williams of the Lower Elwha Klallam Tribe for
791 leading efforts on the screw traps and spawner surveys, as well as assisting with the SONAR and species
792 composition work. We also thank Joshua Weinheimer, Randy Cooper, Andrew Simmons, Chris
793 O'Connell, Scott Williams, Troy Tisdale, Keny Mayer, Michael Ackley, and Mike Mizell of the Washington
794 Department of Fish and Wildlife for leading or helping with Chinook salmon carcass sampling collection
795 and the fish weir, which was used for a brief period of time. National Park Service (NPS) employees Pat
796 Crain, Heidi Connor, Anna Geffre, Josh Geffre, Philip Kennedy, Alex Pavlinovic, James Starr, and Katheryn
797 Sutton (now with Washington Department of Fish and Wildlife) all provided assistance on various data
798 collection tasks throughout the years. Numerous National Park Service volunteers also provided
799 assistance, as did Dave Shreffler of Shreffler Environmental. We thank numerous United States Fish and
800 Wildlife Service temporary and permanent employees who have assisted with multiple aspects of the
801 adult and juvenile enumeration.

802 Steve Corbett of the National Oceanic and Atmospheric Administration – Northwest Fisheries Science
803 Center provided field assistance on all aspects of Chinook salmon and steelhead monitoring. The
804 National Oceanic and Atmospheric Administration – Northwest Fisheries Science Center employees Tim
805 Beechie and Morgan Bond reviewed early versions of this manuscript, as did Dr. Daniel Schindler of the
806 University of Washington School of Aquatic and Fishery Sciences. Karrie Hanson of the National Oceanic
807 and Atmospheric Administration – Northwest Fisheries Science Center provided technical editing.

808 Competing interests: The authors declare there are no competing interests.

809 Contributors' statement

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- 810 GRP – conceptualization, methodology, formal analysis, investigation, resources, data curation, original
811 draft, review & editing, visualization, supervision, project administration, funding acquisition
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825 administration, funding acquisition
- 826 TB - conceptualization, methodology, formal analysis, investigation, resources, data curation, original
827 draft, review & editing, visualization, supervision, project administration, funding acquisition
- 828 JM - resources, data curation, investigation, resources, review & editing, funding acquisition
- 829 JD - investigation, resources, data curation, review & editing
- 830 KH - review & editing

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831 **Funding statement**

832 Funding for these efforts, and loan of one SONAR unit to the Lower Elwha Klallam Tribe, was provided
833 by the United States National Park Service – Olympic National Park to the Lower Elwha Klallam Tribe
834 (contract nos. 1443PC00296, P14PC00364, and P16PC00005). The National Park Foundation also
835 provided funds to the National Park Service and the Lower Elwha Klallam Tribe (contract
836 no.140P2018R042). Keith Denton was funded by the Lower Elwha Klallam Tribe for all aspects of the
837 SONAR and species composition work (contract nos. 1443PC00296, P14PC00364, and P16PC00005).
838 Funding for general Chinook salmon and steelhead monitoring was also provided to the Lower Elwha
839 Klallam Tribe by the Environmental Protection Agency/Puget Sound Partnership through the Northwest
840 Indian Fisheries Commission (contract no. PA-01J64601-1). Funding for the Elwha River native steelhead
841 captive broodstock program was provided by the Pacific Coast Salmon Restoration Fund/Hatchery
842 reform funds (contract nos. 09-1749, 11-1653, 12-1950, 13-1551, and 14-2247).
843 The National Park Service also funded the Bureau of Reclamation between 2012 and 2017 in support of
844 the sediment management monitoring plan via interagency agreements. This funding was used for
845 installing and operating reservoir stage gages, river stage gages, real-time monitoring and numerical
846 modeling of reservoir sediment erosion and transport, and providing technical support for construction
847 implementation of the dam removals and associated mitigation discussions. The Bureau of Reclamation,
848 in support of the sediment management program, contracted to U.S. Geological Survey for collection of
849 sediment turbidity, discharge, and river stage gage data at three locations, and contracted to Erdman
850 Video Systems, Inc. for six time-lapse web camera installations, operation and maintenance.
851 Additionally, the Bureau of Reclamation funded the U.S. Geological Survey to acquire aerial photographs
852 over time (PlaneCam), the purchase of general monitoring equipment, and funding for one full-time on-
853 site project geomorphologist.

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854 The National Park Service - Olympic National Park provided funding to the Washington Department of
855 Fish and Wildlife in support of the collection of biological data during carcass surveys from adult Chinook
856 salmon returns (contract nos. P13PC00291, P14PX01377, P15PX02717, P16PX02828, and others). The
857 National Parks Foundation provided additional funding to the Washington Department of Fish and
858 Wildlife in support of the tagging of juvenile Chinook salmon with coded wire tags (CWTs), the collection
859 of the tags during spawning operations and spawning ground surveys for carcasses, and the subsequent
860 reading and interpretation of the coded wire tags.

861 The United States Fish and Wildlife Service provided funding for a portion of the labor associated with
862 adult and juvenile enumeration. Work related to the proportion of hatchery origin steelhead (PHOS) was
863 funded by the Lower Elwha Klallam Tribe and the Bureau of Indian Affairs.

864 The United States Geological Survey provided funding for portions of the snorkel surveys.

865 The Galrick Foundation funded all aspects of work by John McMillan and Trout Unlimited.

866 Funding, in part, was provided by the Lower Elwha Klallam Tribe to the National Oceanic and
867 Atmospheric Administration – Northwest Fisheries Science Center for the contributions of George Pess,
868 Todd Bennett, and Martin Liermann (contract no. PA-01J64601-1). Oleksandr Stefankiv of AIS, Inc.
869 (contractor to the Northwest Fisheries Science Center) provided assistance with SONAR enumeration
870 and created figure 1.

871 **Data availability statement**

872 Supplementary data are available with the article (PID: DOI/compact identifier/accession number), and
873 hyperlink.

874

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1087 **Tables**

1088 **Table 1.** Chinook salmon relocation by sex from the hatchery facilities in the Lower Elwha River to areas
 1089 upstream the former Elwha Dam site. Blanks indicate no relocation, jacks are excluded from the counts
 1090 below.

Year	Indian Creek		Little River		Elwha River rkm 16.5		Elwha River rkm 20.5		Elwha River rkm 22.0	
	M	F	M	F	M	F	M	F	M	F
2011									7	3
2012	179	0								
2013			117	0						
2014										
2015										
2016										
2017										
2018							877	113		
2019					181	395				
2020										

1091

1092

1093 **Table 2.** Steelhead relocation from the Lower Elwha River to Indian Creek and Little River above the
 1094 former lower Elwha Dam location.

Year	Indian Creek		Little River	
	Natural	Hatchery	Natural	Hatchery
2012	11	0	35	0
2013			53	35
2014			1	58
2015				
2016	3	32		
2017				
2018				
2019				
2020				

1095

1096 **Table 3.** Hatchery mark rates of Elwha Chinook salmon from three sample collection sources. The weir
 1097 and stream survey samples represent Chinook salmon that spawned naturally in the river.

Return year	Hatchery broodstock		Weir		Stream survey		Percent marked
	Marked	Unmarked	Marked	Unmarked	Marked	Unmarked	
2009	271	5	NA	NA	21	1	98.0%
2010	218	12	3	4	38	0	94.2%
2011	533	21	405	9	24	0	97.0%
2012	5	0	87	10	1	0	90.3%
2013	537	27	275	14	79	2	95.4%
2014	481	18	NA	NA	272	12	96.2%
2015	456	28	NA	NA	337	27	93.5%
2016	278	8	NA	NA	245	15	95.8%
2017	555	11	NA	NA	484	29	96.3%
2018	307	3	NA	NA	420	12	98.0%
2019	236	1	NA	NA	352	20	96.6%
2020	234	2	NA	NA	141	25	93.3%

1098

1099 **Table 4.** Number and proportion of marked and unmarked winter steelhead in the Elwha River from
 1100 2014 to 2019.

Years	River section	Hatchery origin	Natural origin	Proportion hatchery origin
2014–2018	Downstream of Elwha Dam	235	42	0.85
2014–2018	Upstream of Elwha Dam	6	18	0.25
2019	Downstream of Elwha Dam	40	44	0.55
2019	Upstream of Elwha Dam	0	24	0.00

1101

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1102 **Table 5.** Elwha River smolt trap catch data and abundance estimates for yearling Chinook salmon from
1103 2014 to 2020.

Year	Raw catch	Trap efficiency	Abundance estimate
2014	71	NA	NA
2015	25	NA	NA
2016	86	0.076	1374 (960-3672)
2017	47	0.134	593 (389-1098)
2018	21	NA	NA
2019	4	NA	NA
2020	142	0.023	4301 (4031-7248)

1104

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1105 **Table 6.** Adult-to-adult productivity of Chinook salmon spawning naturally in the Elwha River watershed.
 1106 Fishery mortality data were only available through 2016 whereas abundance, hatchery mark rate and
 1107 age structure data were available through 2019, explaining the shorter pre-harvest time series.

Return year	Spawners	Total recruits		Productivity	
		Return to river	Pre-harvest	Return to river	Pre-harvest
2004	2075	64.4	77.3	0.03	0.04
2005	835	83.6	102.0	0.10	0.12
2006	693	15.7	19.1	0.02	0.03
2007	380	45.8	57.2	0.12	0.15
2008	470	121.6	151.9	0.26	0.32
2009	678	341.9	406.4	0.50	0.60
2010	569	190.9	215.0	0.34	0.38
2011	852	240.3	261.7	0.28	0.31
2012	1655	127.9		0.08	
2013	2426	47.3		0.02	
2014	2509	155.6		0.06	
2015	2552	343.2		0.13	
2016	2019	116.1 ^A		0.06 ^A	

1108 ^AIncomplete cohort, does not include age-5 returns.

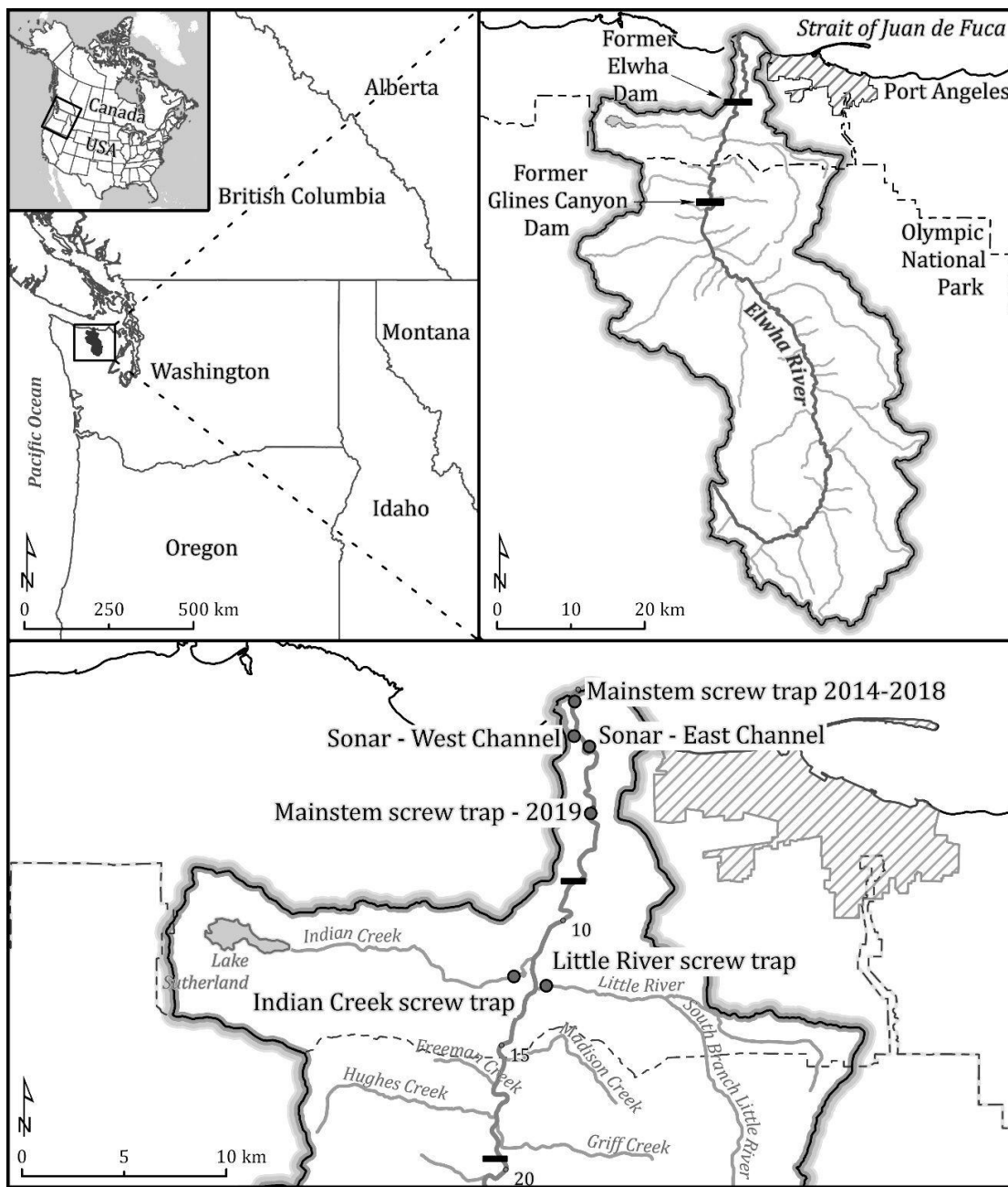
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1110 **Table 7.** Number of adult summer steelhead counted through snorkel observations in the Elwha River
 1111 from 2013 to 2020.

Year	Rkm location	Total rkm surveyed	Snorkel survey month	Adult summer steelhead observed
2013	*0.0–5.0	5	October	1
2016	20.0–25.0	5	August	1
2016	40.0–53.0	13	August	5
2017	35.0–58.0	23	September	74
2018	0.0–63.0	63	September	216
2019	0.0–63.0	63	September	341
2020	0.0–63.0	63	September	114

1112 *Snorkel survey was conducted in Little River, a tributary of the Elwha River
 1113

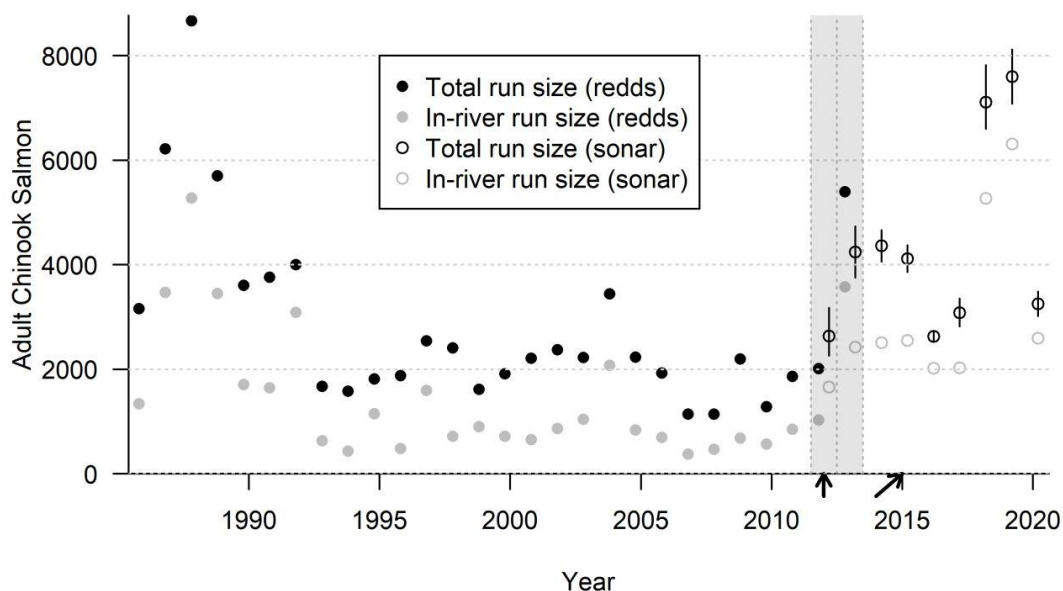
1114 **Figures**



1115

1116 **Fig. 1. The Elwha River basin.** Upper left is regional map of Elwha River, upper right is the entire Elwha

1117 River watershed. Lower map includes location of SONAR units and smolt screw traps.



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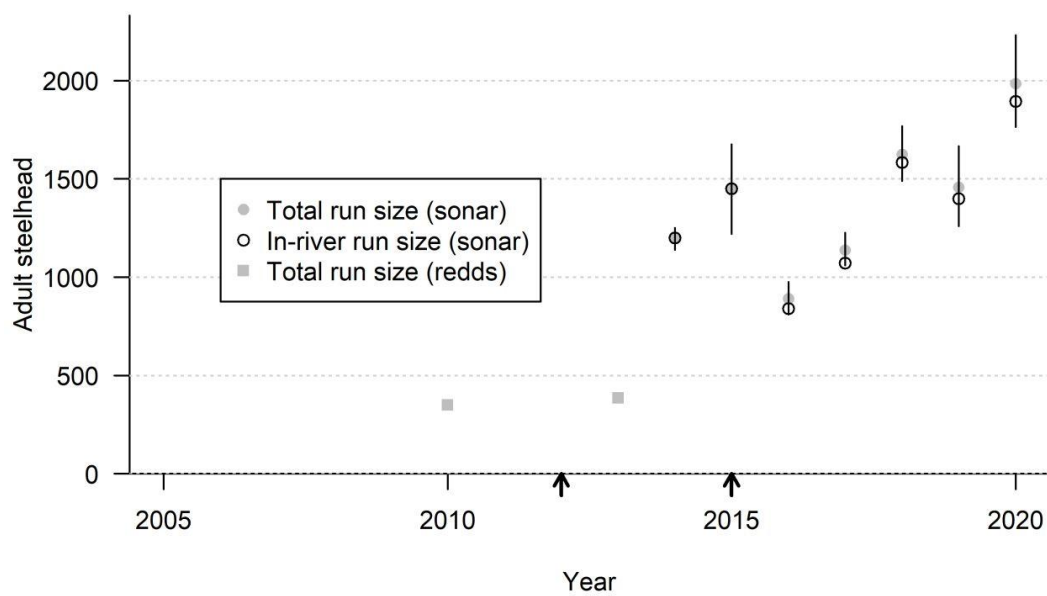
1119 **Fig. 2a. Chinook salmon adult abundance in the Elwha River from 1986 to 2020.** Shaded areas denote

1120 estimates from Chinook salmon redd surveys and SONAR. Dark solid lines denote 95% confidence

1121 intervals. Arrows (straight and angled) denote the removal of the Elwha and Glines Canyon dams.

1122 Removals for hatchery broodstock account for the difference between total run size and in-river run

1123 size.

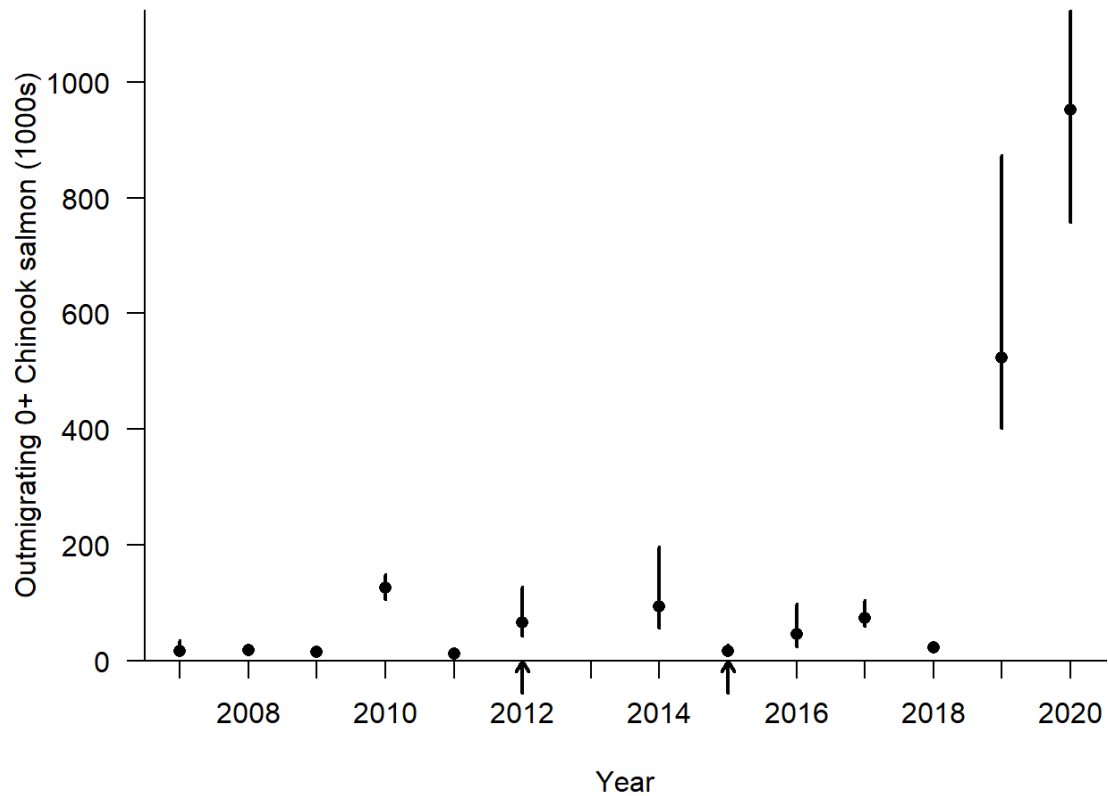


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1125 **Fig. 2b. Winter steelhead adult abundance in the Elwha River from 2010 to 2020.** Dark solid lines

1126 denote 95% confidence intervals. Arrows denote the removal of the Elwha and Glines Canyon dams.

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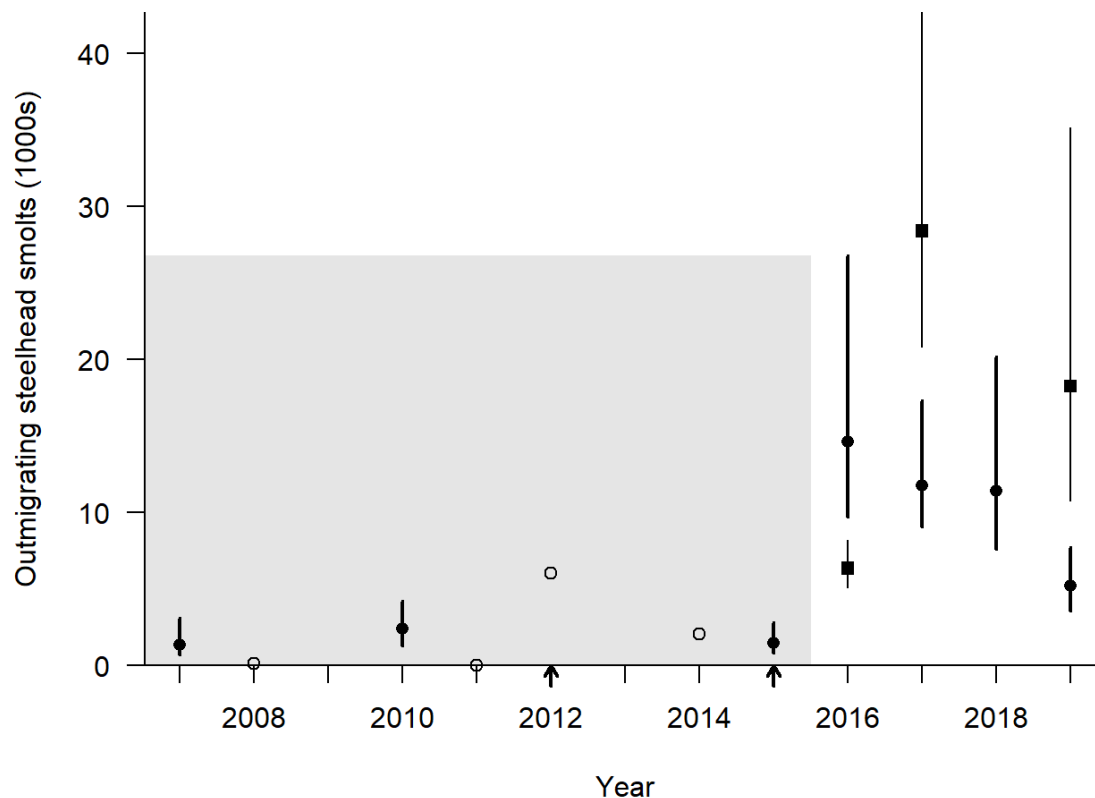


1128

1129 **Fig. 3a. Abundance of outmigrating subyearling juvenile Chinook salmon from the Elwha River - 2007**1130 **to 2020, as estimated at the screw trap in the mainstem Elwha River (rkm 0.3 and 3.3 in 2014 and 4.0**1131 **in 2019). The filled circles and vertical bars represent the median estimate and 95% credible interval.**

1132 Arrows denote the removal of the Elwha and Glines Canyon dams.

1133



1134

1135

Fig. 3b. Abundance of outmigrating steelhead smolts from the Elwha River - 2007 to 2019, as

1136

estimated at the screw trap in the mainstem Elwha River (rkm 0.3 and 3.3 in 2014 and 4.0 in 2019).

1137

The filled circles and vertical bars represent the median estimate and 95% credible interval. The open

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circles without credible intervals represent years in which the catch was less than 10. The black filled

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rectangles represent the separate estimates based on the independent large-bodied fish efficiency

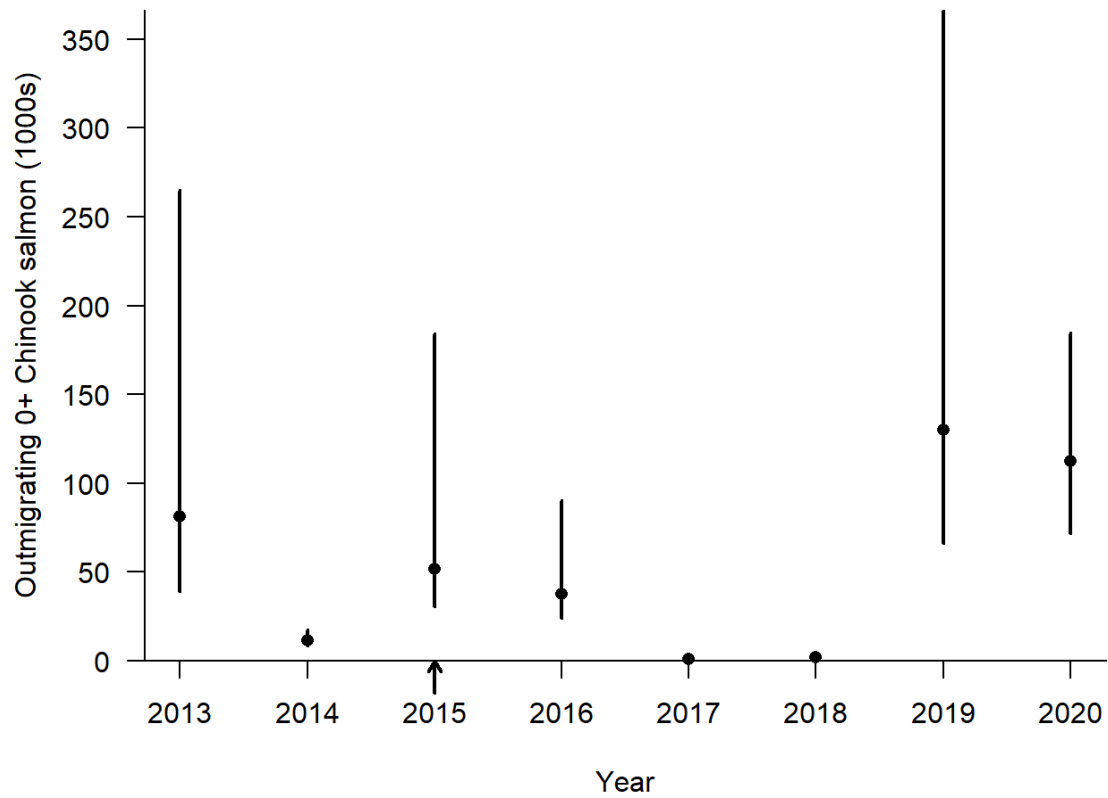
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estimates. The gray region represents years in which the outmigrant estimates are believed to be under-

1141

estimated. Arrows denote the removal of the Elwha and Glines Canyon dams.

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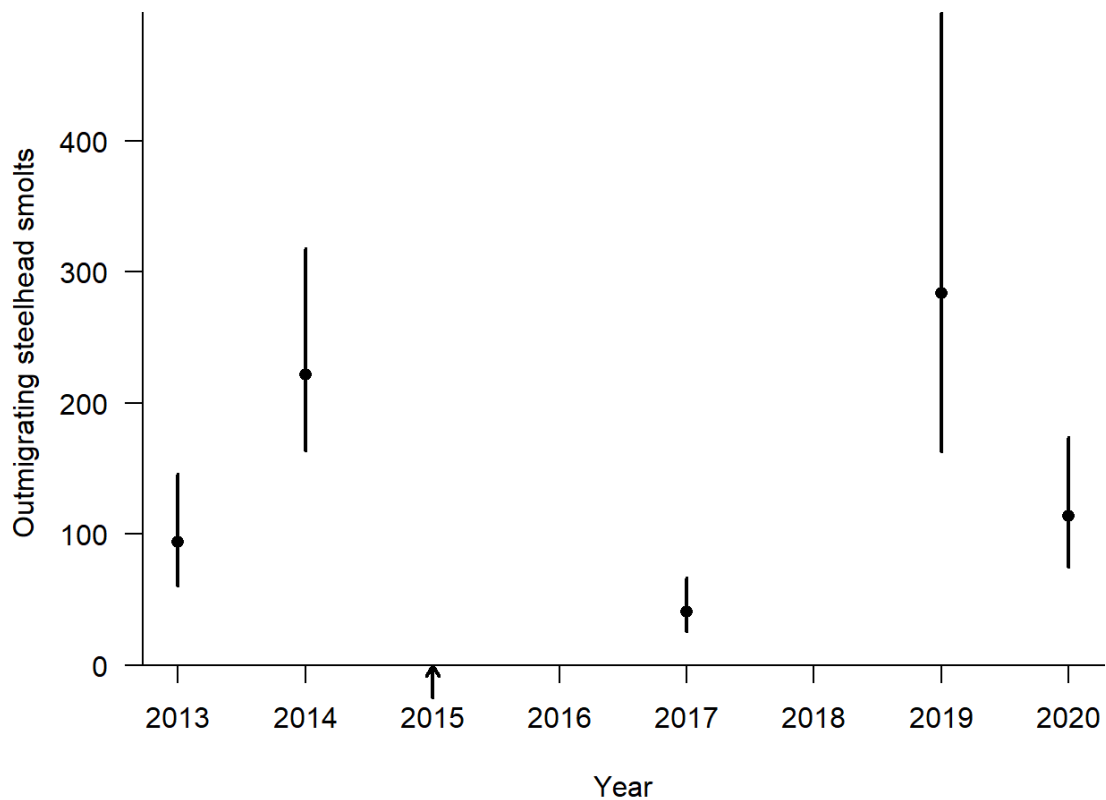


1143

1144 **Fig. 3c. Abundance of outmigrating subyearling juvenile Chinook salmon from Indian Creek - 2013 to**1145 **2020 estimated from screw trap (rkm 0.7).** The filled circles and vertical bars represent the median

1146 estimate and 95% credible interval. Arrow denotes the removal of the Glines Canyon dam.

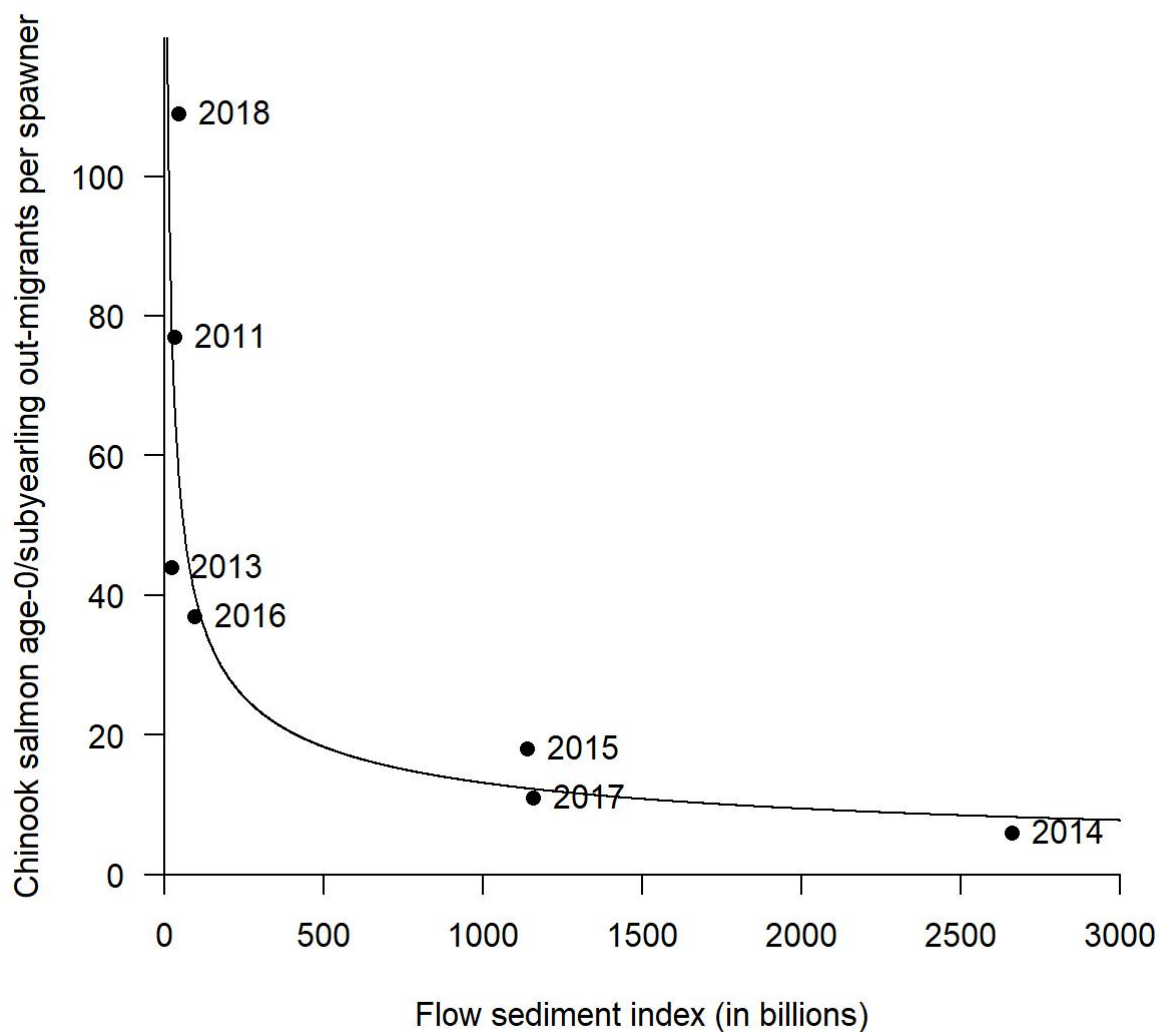
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1149 **Fig. 3d. Abundance of outmigrating steelhead smolts from Indian Creek - 2013 to 2020 estimated from**
1150 **screw trap (rkm 0.7).** The filled circles and vertical bars represent the median estimate and 95% credible
1151 interval. Arrow denotes the removal of the Glines Canyon dam.

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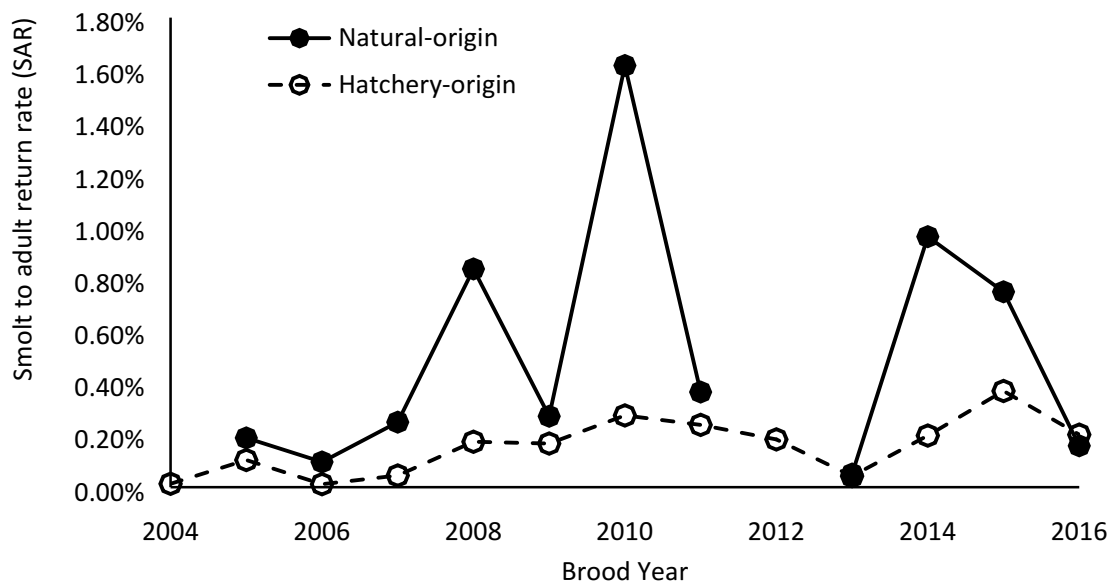


1153

1154 **Fig. 4. The relationship between the number of days where flow is above bankfull discharge (56.6 cms)**
1155 **multiplied by the total sediment volume (tonnes) during incubation (September to December) vs. the**
1156 **number of subyearling juvenile Chinook salmon per spawner - 2011 to 2018.**

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1160 **Fig. 5. Smolt-to-adult return (SAR) rate from brood year 2004 to 2016 for hatchery and natural origin**

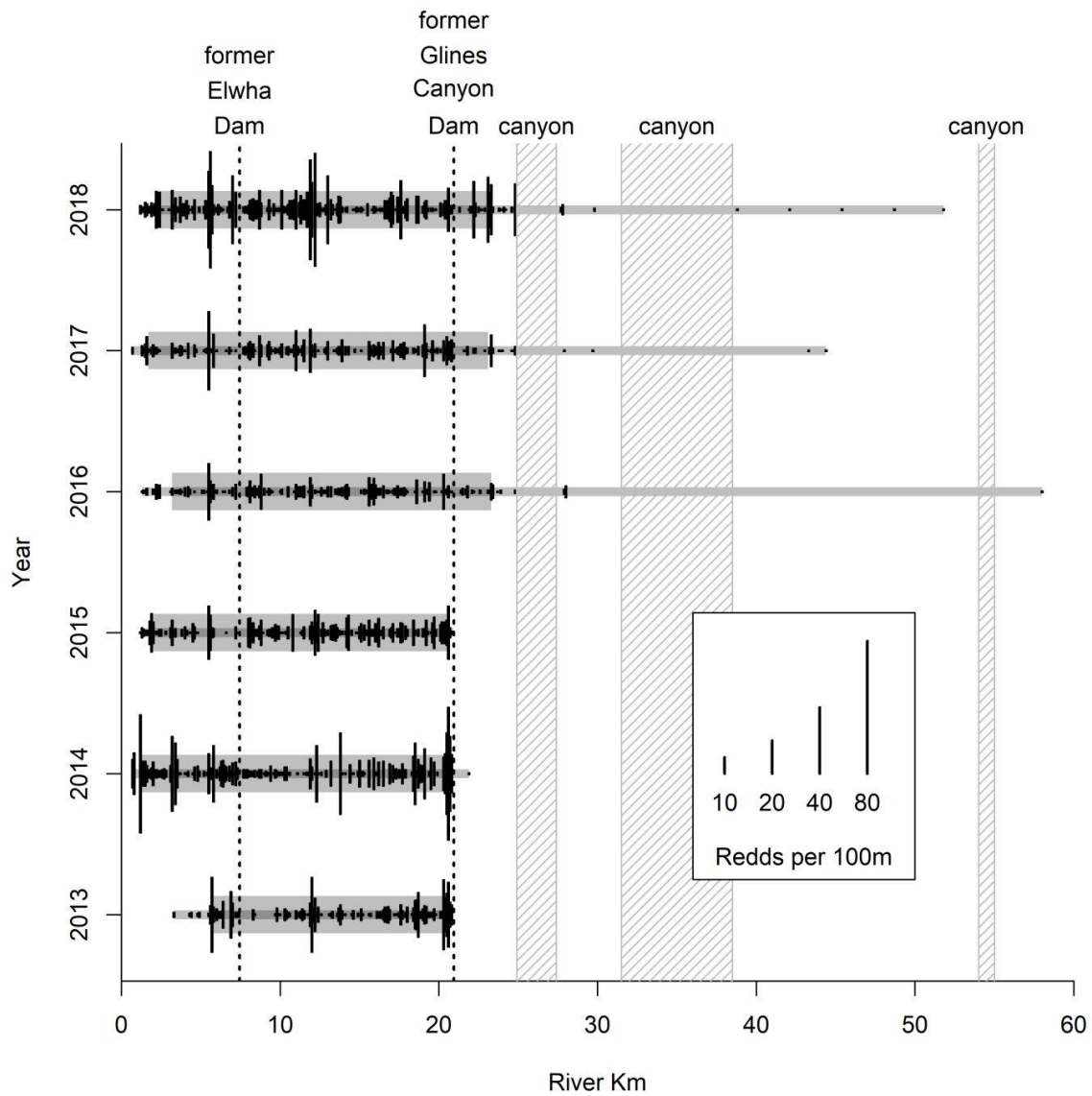
1161 **Chinook salmon in the Elwha River.** The filled circles and solid line represent natural origin Chinook

1162 salmon. The open circles and dashed line represent hatchery origin Chinook salmon. Brood year 2016

1163 represents an incomplete cohort (age-5 adults not yet included). For each brood year (BY), hatchery-

1164 origin juveniles include subyearling (BY + 1) and yearling (BY + 2) releases.

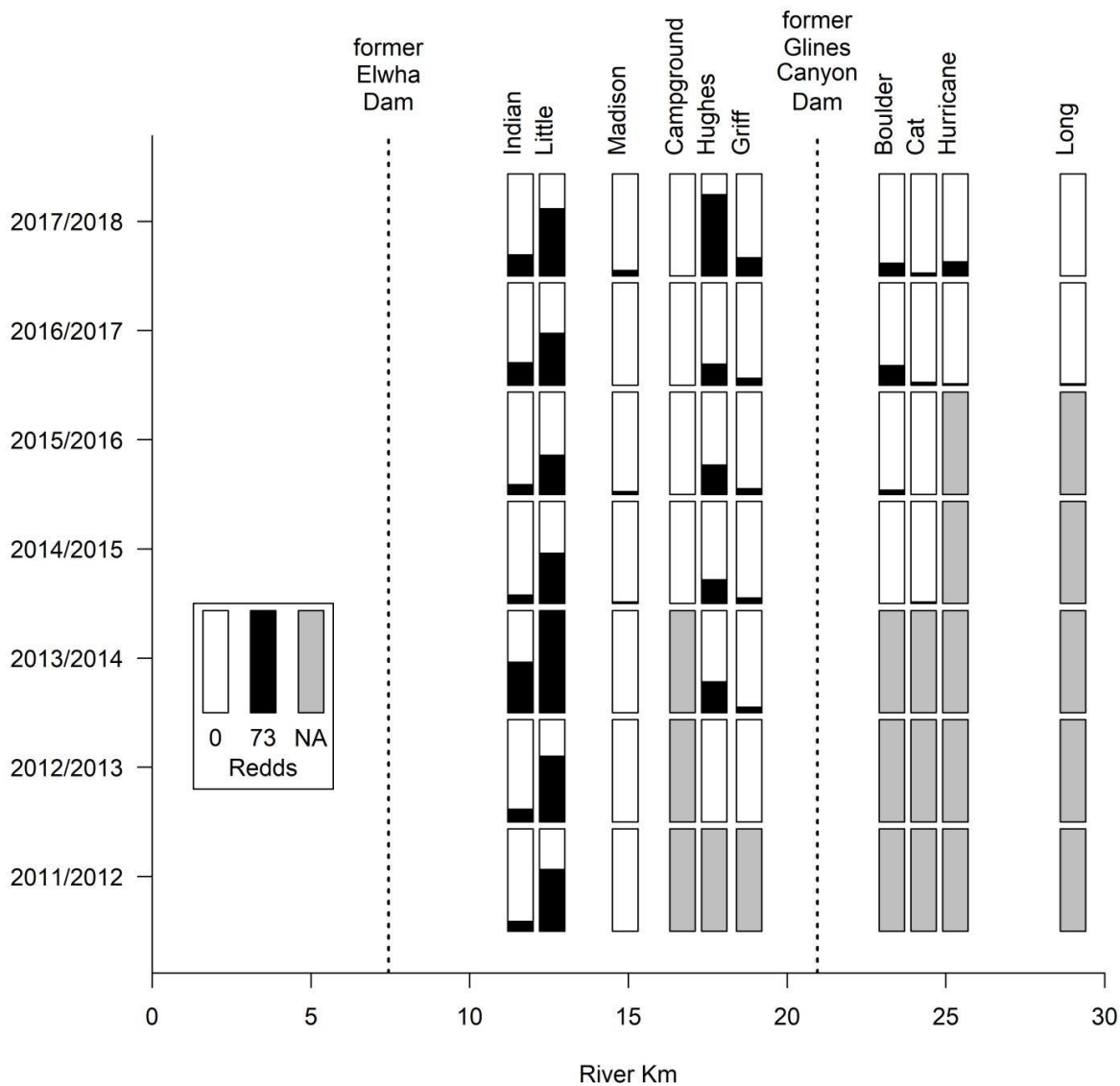
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1166

1167 **Fig. 6. Chinook salmon spawning distribution by year and rkm 2013 to 2018.** Solid black lines denote
 1168 Chinook salmon redd density/100 m. Narrow grey lines denote the total extent of Chinook salmon redd
 1169 distribution. Thicker grey line denotes the central 90% of Chinook salmon redd distribution.

1170



1171

1172 **Fig. 7. The number of steelhead redds in surveyed tributaries in the Middle and Upper Elwha River –**

1173 **2011 to 2018. “NA” indicates no survey conducted.**

1174

1175 **Appendix A.**

1176 Each SONAR was attached to a pole mount (Enzenhofer and Cronkite 2005) that was mounted on a
1177 reinforced ladder. The SONAR and ladder were positioned just under the surface of the water with a
1178 downward angle of approximately 4-6 degrees. The goal of this placement was to ensonify the bottom of
1179 the river across the entire width of the channel in addition to clearly identify the far bank. We constructed
1180 a picket weir approximately 3 m downstream of the DIDSON that extended from the bank to 3 m beyond
1181 the SONAR. This weir directed fish to an area of the channel more effectively covered by the sonar beams,
1182 producing greatly improved imagery and minimizing the possibility of fish passing directly underneath the
1183 beam and avoiding detection. The Hunt's Road Channel (HRC) was connected to line power, which
1184 ensured a constant power supply. The Old Mainstem (OMS) site was powered by a 24-volt, 200-amp hour
1185 battery bank that was continually charged by an array of solar panels. A weir was also constructed at the
1186 OMS site to direct upstream passage of adult fish.

1187 All DIDSON data was processed using DIDSON proprietary software (V5.25.26). Files were background
1188 subtracted, using the default parameters, to remove rocks and make it easier to discern moving objects,
1189 such as migrating fish. Subsequently, each file was transformed into an echogram using the default
1190 parameters, with the exception that all 96 beams were included rather than only the center beam. This
1191 dramatically increases the probability that any fish entering the ensonified area will be detected in the
1192 echogram.

1193 An echogram is a graph of the data with distance from the SONAR head on the y-axis and time on the x-
1194 axis. If no targets are present, the echogram will be blank. If a fish swims through the ensonified area, the
1195 echogram will have a series of targets connected along the x-axis, whose overall length correlates with
1196 the length of time it took the fish to pass through the ensonified area. The string of targets along the x-
1197 axis may trend up or down along the y-axis if the fish was swimming diagonally away or towards the sonar

1198 head during its passage. To count fish passage events, target echograms were simultaneously reviewed
1199 along with the raw video file. This enabled the reviewer to quickly scan through an echogram until a target
1200 pattern that could potentially represent a fish was encountered. The raw video imagery was then
1201 reviewed to ensure identified targets were indeed fish.

1202 The procedure for processing ARIS data was the same (background subtraction, echogram formation,
1203 manual review of likely targets), except ARIS data is processed with its own software, ARISFish (v.1.5).
1204 After determining that a pattern on the echogram was indeed a fish, we noted several variables, including
1205 the date, time, direction (upstream or downstream), distance from SONAR head, and length (mm).

1206 We used a simulation-based approach to validate the expansion of raw SONAR counts to a population
1207 estimate and replicated this simulation procedure a large number of times (10,000). Data were organized
1208 into 6-hour strata, four per day. We initialized the values in each cell (360 to 600 rows depending on the
1209 season and year representing each of the six-hour strata and 10,000 columns, representing the iterations)
1210 to the net passage based on the raw counts from the files. During each of the three or four steps in the
1211 adjustment procedure described below, we were able to generate updated estimates of escapement, and
1212 at varying temporal scales (six-hour estimates, daily estimates, and annual estimates). To determine the
1213 coefficient of variation for the final escapement, the standard deviation of all 10,000 estimates was
1214 divided by the mean of all 10,000 estimates.

1215 A.1 20-minute expansion

1216 For Chinook salmon we used a 20-minute count expansion due to the number of Chinook passing the
1217 SONARs. Counting 20 minutes of each hour is on the upper end of recommended sub-sampling regimes
1218 (Lilja et al. 2008). Due to relatively low fish passage during steelhead season, the full hour was counted
1219 for each file. In order to expand our 20-minute counts and encompass the uncertainty inherent in the
1220 process, we typically counted the full 60 minutes of 15 six-hour strata of Chinook data each year. We

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1221 regressed these 60-minute counts against those predicted from the first 20 minutes of the same six-hour
1222 strata to create a vector of residuals. Each raw 20-minute count was then adjusted by multiplying by three
1223 and adding a randomly sampled value from the residual vector.

1224 A.2 Species composition

1225 We conducted tangle net surveys for Chinook salmon on a weekly or bi-weekly basis during the course of
1226 the SONAR operations to capture live adult salmonids at multiple sites in the lower two km of the Elwha
1227 River. We used the tangle net data to determine the beginning and end of the Chinook and steelhead
1228 runs, to differentiate species of salmonids passing by the SONAR sites during the course of the run, and
1229 to determine a minimum size threshold for SONAR target inclusion in the final escapement calculation.
1230 Captured fish were measured for fork length and qualitatively categorized as “new” if they appeared to
1231 have been in the river for less than two weeks, “holding” if they appeared to have been in the river for
1232 more than two weeks, or “spawned out” if they appeared to have finished or initiated spawning. In an
1233 effort to only include actively migrating fish in the date-specific data set, only fish categorized as “new”
1234 or “holding” were included in the species composition adjustment. The tangle net was designed to snare
1235 fish from the jaw not the gills in order to reduce encounter effects. The tangle net was approximately 20
1236 m long and 3 m deep with a 10 cm mesh of 6# monofilament. We also used the in-stream netting data to
1237 determine a minimal threshold for SONAR measured targets to be included in the final escapement
1238 estimation by comparing the lengths of Chinook and non-Chinook salmonids, which were mostly bull trout
1239 during the steelhead run and pink and coho salmon towards the end of the Chinook run.

1240 In-river netting provided the necessary data to adjust the expanded counts to account for the number of
1241 Chinook and steelhead moving passed the SONAR sites. We summed fish between adjacent sampling
1242 events to arrive at daily totals for fish caught and Chinook and steelhead caught. We simulated the
1243 proportion of Chinook or steelhead on given iteration and day as:

1244

$$p_{i,j,k} = \text{Binomial}\left(\frac{N_{i,j,k}^{\text{chinook or steelhead}}}{N_{i,j,k}^{\text{total}}}, N_{i,j,k}^{\text{total}}\right) / N_{i,j,k}^{\text{total}} \quad (\text{A1})$$

1246 where i represents the iteration (1–10,000), j indexes the 6-hour chunk and k indexes the channel. The
 1247 total number of fish, $N_{(i,j,k)}^{\text{total}}$, and total number of Chinook or steelhead $N_{(i,j,k)}^{\text{Chinook or steelhead}}$ were
 1248 available on a daily basis, so the four six-hour strata from each day were assumed to have the same species
 1249 composition. We then adjusted each of the expanded counts for the proportion that were Chinook or
 1250 steelhead as a random draw from a binomial distribution:

$$X_{i,j,k}^{\text{chinook or steelhead}} \sim \text{Binomial}(p_{i,j,k}, X_{i,j,k}^{\text{total}}) \quad (\text{A2})$$

1252 Thus, this two-step sampling is accounting for both uncertainty in the proportion of Chinook salmon and
 1253 steelhead (first step) and random sampling variation (second step).

1254 A.3 Observer error

1255 It is generally recommended to account for the possibility of observer error in SONAR counts (Holmes et
 1256 al. 2006). We quantified observation error by comparing counts for 10 to 15 six-hour strata between the
 1257 primary technician and a more experienced “expert” counter. Similar to the expansion procedure, six-
 1258 hour total passage counts by the expert counter were considered to be a measure of “actual” passage and
 1259 were compared to the technicians “predicted” counts. A regression line was fit to the expert versus
 1260 technician data, with a forced intercept of 0 and then a vector of observer error residuals was generated.
 1261 Each cell of the expanded Chinook matrix was then adjusted by multiplying it by the coefficient from the
 1262 regression trendline and adding a random residual.

1263 A.4 Filling missing data gaps

1264 We used a generalized additive modeling (GAM) approach to fill in missing counts or values, because this
 1265 approach also allowed us to include uncertainty estimates (gamin the ‘mgcv’ package in R). We fit a

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1266 smoothing spline over time (days), which allowed both a flexible shape of return timing, and uncertainty
1267 to increase as the spline became further away from data. For example, if a five-day data gap exists, the
1268 uncertainty is highest on the mid-point (day three) of the gap, and the uncertainty associated with passage
1269 during the gap would increase as the length of the gap increases. For each iteration of the simulation, we
1270 first fit the GAM to fill in the data gaps, then for each six-hour chunk generated random values (using the
1271 mean and corresponding standard error from the GAM). Because our approach does not include
1272 autocorrelation in missing values, but assumes each six-hour chunk to be independent, it represents upper
1273 bounds of uncertainty estimates.

1274 **Appendix B.**

1275 B.1 A history of screw trap operations for Chinook salmon and steelhead fry/smolt outmigration estimates
1276 During the pre-project and dam-removal periods, mainstem trapping operations were initiated in mid to
1277 late February and continued until late June. These dates were predicated by flow and tidal conditions in
1278 the early portion of the season and interactions with releases of up to 3.5 million of 0+ Chinook salmon
1279 smolts from the WDFW hatchery in the later season. Dates of trap operation were extended for mainstem
1280 trapping efforts during the post-dam-removal period. During the pre-dam-removal period, the end of trap
1281 operations was determined by catch rates and the mainstem trap catches tended to drop toward zero by
1282 mid to later June, likely because the total natural production of salmon in the Elwha was historically low.
1283 In other Puget Sound drainages, Chinook outmigration is typically bimodal, with earlier peaks dominated
1284 by small recently emerged migrants and later peaks dominated by larger parr migrants that rear in
1285 freshwater for several to many weeks (Zimmerman et al. 2015; Anderson and Topping 2018). As Chinook
1286 salmon have now colonized areas above the dams, we have documented the re-expression of that
1287 bimodal outmigration pattern and have extended the trapping period.

1288 During the pre-dam removal period, the mainstem 2.4 m screw trap was fished continuously (24
1289 hours/day) except when flows exceeded ~ 2000 cfs (~ 56 m³·s⁻¹). During the dam-removal period (2011-
1290 2014), sediment and debris entrained in the river made trapping effectively difficult to impossible.
1291 Conditions were so poor in 2013 that trapping operations were discontinued as sediment and small
1292 organic debris overwhelmed the trap box. The changes in fishing conditions forced changes in both trap
1293 size and mainstem location during the dam removal period. River conditions have steadily improved since
1294 2015 and a larger 2.4 m screw trap has been utilized in the lower river.

1295 This original site was temporarily abandoned during the 2013–2014 seasons because of sediment
1296 aggradation associated with dam removal and operations were moved upstream to rkm 4.4. By the early
1297 winter of 2015, the lower river site had evacuated enough sediment to support trapping operations with
1298 the smaller 1.5 m screw trap. Changes in stream channel morphology during the dam removal period have
1299 not only affected the mainstem fishing site, but also the size of trap used. During the pre-dam-removal
1300 period (2006–2011), river conditions were quite stable and LEKT utilized a 2.4 m rotary screw trap at rkm
1301 0.3. However, as dam removal progressed into 2013, the pool at rkm 0.3 rapidly filled and was reduced
1302 from greater than 3.0 m to less than 1.0 m in depth. This forced a reduction in the size of trap to a 1.5 m
1303 screw trap that could physically operate, as the river was not deep enough to support the larger trap.
1304 Since the 2016 season, enough sediment had evacuated the lower river fishing site to support the
1305 operation of the larger 2.4 m screw trap.

1306 Establishment of tributary smolt monitoring sites on Little River occurred in 2012 and in Indian Creek in
1307 2013. For Little River, we used the bridge crossing at rkm 0.2. For Indian Creek, we used the bridge crossing
1308 located at rkm 0.6. On Little River for the 2012–2015 period, we used a standard 1.5 m diameter screw
1309 trap that floats in a pool approximately 1.5 m deep. In 2016, we retrofitted the Little River trap with a 1.2
1310 m screw to reduce drag during low flow periods. In 2018, LEKT commenced trapping operations in the
1311 mainstem in mid-February and ceased trapping efforts in mid-August when daily catches declined to very

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1312 low levels. A significant number of fishing days were lost because of the combination of high flows,
1313 damage by debris, and hatchery releases.

1314 B.2 Field methods

1315 Determining the origin (hatchery vs. natural) of most Elwha salmon species is facilitated by unique marks
1316 applied at the two hatcheries, although only a small percentage of the hatchery Chinook salmon are
1317 adipose clipped or marked with a detectable CWT. The WDFW hatchery has two age classes of hatchery
1318 Chinook releases on [the](#) Elwha: one in early April of 1+ Chinook, and another in June of 0+ Chinook. We
1319 typically ceased fishing for a period of several days immediately following any hatchery release. However,
1320 residual hatchery fish can remain in the system for several weeks and are inevitably captured in the trap.
1321 The hatchery 1+ Chinook salmon are generally larger than natural 1+ Chinook salmon and their origin is
1322 determined by size and timing. The smaller 0+ Chinook salmon are difficult to determine origin as they
1323 are of very similar size and appearance to naturally produced Chinook salmon. This has led to some
1324 uncertainty in determining the origin of Chinook salmon in past years. Elwha hatchery Chinook salmon
1325 are thermally marked in the hatchery and that results in a unique growth pattern which can be determined
1326 following dissection and laboratory analysis. In 2017, we collected otoliths from Chinook salmon (N = 50)
1327 over a several week period following resumption of fishing two weeks after the release of hatchery 0+
1328 Chinook salmon. Those fish were sent to the WFDW laboratory to determine origin. That of marked
1329 hatchery-origin fish proportion was applied to the total 0+ Chinook salmon catch during the period
1330 following 0+ Chinook salmon hatchery releases and subtracted from the total estimate to yield the
1331 abundance of naturally produced juvenile Chinook salmon.

1332 B.3 Data analysis

1333 Total passage past the trap (T_i) was assumed to follow a negative binomial distribution.

1334
$$T_i \sim \text{negbinom}(\text{mean}_i, \text{days}_i, \text{disp}_i) \quad (\text{B1})$$

$$1335 \quad \log(\text{disp}) \sim \text{normal}(0,100) \quad (\text{B2})$$

1336 Where the mean daily passage is a temporal random walk with the variance of the jump size proportional
 1337 to the number of days the trap was fished before it was checked (days). This allows the mean to change
 1338 from day to day but only incrementally.

$$1339 \quad \log(\text{mean}_i) \sim \text{normal}(\log(\text{mean}_{i-1}), \text{rwSD}_i \text{days}_i^{-1/2}) \quad (\text{B3})$$

$$1340 \quad \text{rwSD}_i \sim \text{normal}(0,100) \quad (\text{B4})$$

1341 The observed catch (C_i) was modeled as a binomial distribution where a period-specific proportion (p_i)
 1342 of total passage past the reader (T_i) was captured.

$$1343 \quad \text{rwSD}_i \sim \text{normal}(0,100) \quad (\text{B5})$$

1344 The proportion captured by the trap (i.e., the efficiency, p_i), was estimated from efficiency trials where
 1345 large groups of marked fish (M_j) were released above the trap and the number of marked fish recaptured
 1346 at the trap (R_j) was recorded.

$$1347 \quad R_j \sim \text{binomial}(p_j, M_j) \quad (\text{B6})$$

$$1348 \quad p_j \sim \text{beta}(1,1) \quad (\text{B7})$$

1349 The models were implemented in the JAGS software (Plummer 2003) and the R language (R Core Team
 1350 2015) was used for all synthesis. Chains were run until the models appeared to converge. Visual inspection
 1351 of the trace plots and the *Rhat* statistic were used to assess convergence and determine the appropriate
 1352 number of simulations. Model fit was also examined using plots of the data with estimated quantities
 1353 overlaid.